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TITLE: Expression and Regulation of the Retinoic Acid Receptor Beta Gene in Human Mammary Epithelial Cells

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13. ABSTRACT (Maximum 200

We have performed BRARE (beta retinoic acid response element) gel shifts and supershifts, using antibodies for RARa and RARB, with probes to nuclear extracts from 2 normal and 3 tumor lines. We have performed these analyses with RARB-transduced breast cancer cells, MCF7 and MDA-MB-231. From 13 breast cancer cell lines, we have PCR-amplified four overlapping regions of the RARB promoter (totaling ~700 ntds) and performed primary sequence analysis. We have studied the effects of retinoic acid (RA) on growth of normal human mammary epithelial cells (HMECs) in comparison to tumor cells. We have begun experiments to examine the *in vivo* behavior of breast cancer cell lines transduced with the RARB gene through nude mice studies. By differential display, we have identified eight genes regulated by retinoic acid in either breast cancer cell lines and/or normal HMECs. We have continued to evaluate the methodology to assess RAR levels in primary breast tumors. Our studies underscore that a rich variety of cellular processes are regulated by RA in concert with RARB in normal and neoplastic mammary cells.

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* *

Introduction

Breast Cancer May be Prevented by Retinoids:

Retinoid therapy or dietary intervention may offer new avenues of breast cancer prevention or therapy. Clinical trials in the U.S. (National Cancer Institute) and Italy (Milan) are now determining the efficacy of retinoid chemotherapeutics[1]. The importance of retinoids in the *prevention* of breast cancer is strongly suggested based on the Harvard School of Public Health nurses' cohort study(n > 89,000), where it is indicated that moderate dietary intake of carotenoids (plant source of vitamin A) as well as preformed vitamin A is inversely associated with the risk of breast cancer (Rev in: 2). *Note:* this previous study is ungoing and will likely reveal additional trends given longitudinal nature of the study. Additional support for retinoid therapeutics derives from a large body of animal carcinogenesis studies where synthetic retinoids were found to reduce mammary tumor incidence in mice and rats [Rev. in: 3].

Retinoids, including vitamin A and one of its metabolites, all-trans retinoid acid (RA), are a class of over 3000 naturally occurring and synthetic compounds. They were first recognized as potential cancer preventive substances 70 years ago when it was observed that epithelium of vitamin A deficient animals resembled that of preneoplastic tissue [4]. Humans obtain retinoids as dietary constituents (usually carotinoids or pre-formed vitamin A) that are metabolized in the intestine, the liver, as well as in "target" tissues such, as the skin, lymphocytes, lung and breast, where it hypothesized they maintain a differentiated phenotype. Esterified retinoids are stored in the liver and may accumulate in organs with high fat content such as the mammary gland [5, 6]. The most widely studied of the retinoid metabolites is retinoic acid (RA). Retinol or precursors of retinoic acid are metabolized in vivo to an active metabolite, all-trans retinoic acid (AT-RA, often abbreviated to RA). Currently a number of clinical trials are underway using retinoids (natural and synthetic), either alone or in combination with other therapies to treat a variety of cancers, including breast cancer [1]. Our studies of one of the nuclear receptors for retinoic acid, RARB [retinoic acid receptor beta], are based on the paradigm that the action of retinoids in concert with expression of tissue-appropriate wild-type nuclear retinoic acid receptors promotes a differentiated phenotype in breast epithelial cells, and in particular in the luminal epithelial cells where most human breast tumors arise.

Proteins That Participate in Retinoid Action:

Although we are targeting one nuclear RAR for the focus of our studies, we must keep in mind for both our current and future studies the larger picture of retinoid action. Three classes of intracellular proteins may play roles in the phenotypic response of target cells (normal vs. tumor) to retinoids:

- a) the circulating retinol binding proteins and cytosolic retinol and retinoic acid binding proteins [CRBPs; CRABPs]; and
- b) the nuclear, retinoic acid receptors [RARs; RXRs]
- c) the enzymes involved in the transformation of retinol to retinoic acid;

Very little is known about the intracellular proteins which metabolize retinoids and their relationship to cancer risk or prevention. However, it is interesting to note that several of these proteins involved in retinoid uptake and action are themselves regulated at the transcriptional level by retinoic acid, e.g. RARB and CRBP1.

Much less is known about the expression and regulation of the CRBPs or CRABPs in relationship to breast cancer. A recent study reported expression of CRABPII (but not I) in both RA responsive (growth suppressed) and RA resistant breast cancer cell lines [7]. Interestingly, *in vitro*, both RARB and CRABPII are upregulated at the mRNA level by retinoic acid. These proteins are likely to be important in sequestering retinol or retinoic acid derivatives and for delivery to subcellular regions (microsomes) where the ligands are metabolized.

A striking number of neoplasias exhibit either direct or indirect involvement of the retinoic acid receptors. The theme in most cases is either a rearrangement in the normal gene or loss of expression. The majority of cases of acute promyelocytic leukemia, for example, exhibit a characteristic translocation between chromosome 15 and 17 (the site for the RAR α gene). Promyelocytic leukemia patients frequently attain remission with retinoids presumably because there is sufficient wild type RAR α in the neoplastic cells to respond and to overcome the transforming, aberrant protein. Recently it was demonstrated that in treatment of patients with "premalignant" oral neoplasia with 13-cis-retinoic acid, the expression of the RAR β gene was specifically up-regulated and this was associated with "clinical response" [8]

Loss of expression of the retinoic acid receptor beta (RARβ) has been documented, to date, in cells derived from the following solid tumors: ovarian cancer [9]; lung cancer [10, 11] squamous cell carcinoma [12] head and neck cancer [13] gastric cancer [14] and breast cancer [15]. This latter paper was work I performed that established, in part, the basis for this Army-funded research. The RARβ gene has properties of a tumor suppressor gene; it slows growth of lung tumors in nude mouse assays [11] and, when transfected, causes breast cancer cells to undergo apoptotic cell death[16, 17] Growth suppression of breast tumor cells may also be mediated through RARα and RARγ [18, 19], although no transfection or in vivo experiments strongly support RARα and RARγ as tumor or growth suppressors, as is the case for RARβ. There is a strong interest both in academic medicine and in the pharmaceutical industry to design retinoic acid derivatives to target specific receptors and to study these a specific chemotherapeutics specifically for breast cancer (information this P.I. obtained from attendance at a recent FASEB meeting on Retinoids, Copper Mountain, CO., June 23-28, 1996 (also recent refs: 20-22).

Our major, current goals, based on two key papers [15, 16], are to confirm the hypothesis that RARB is a tumor suppressor gene for breast cancer and to understand the receptor and ligand interactions necessary for invoking and maintaining growth control and/or a differentiated phenotype.

Our broad, future goals include an understanding of the retinoid metabolic pathways, the genes involved in this process, and genes that may transcriptionally modulated by retinoic acid or metabolites of retinoic acid in the mammary gland.

Overview: This progress report addresses four areas of work accomplished over the past year:

1) We have made progress in sequencing and analysis of the RARB gene promoter (*Task 1*). We have advanced our studies of protein: DNA interactions within the RARB gene

promoter in breast cancer cells (Task 1).

- 2) We have made progress on Task 2 by furthering our understanding of RA growth control in normal HMECs(see Appendix manuscript). We have identified an extracellular matrix protein that is regulated by RA in normal cells and RARß-transduced breast cancer cells MDA-MB-231. We have established the base line studies for animal (nude mouse) experiments.
- 3) We have made progress in cloning 5 genes by differential display (positive selection by retinoic acid induction in breast tumor cells, MCF7M) and cloned near full length of 2/5. Using the DD technology, we have identified three genes upregulated by retinoic acid in normal human mammary epithelial cells (Task 3).
- 4) We have critically evaluated state of the art methodology to assess levels of RARB gene expression in primary tumors, and have begun work on *in situ* hybridization and reverse transcriptase- polymerase chain reaction (RT-PCR), using breast tumor cell lines which do or do not express RARB mRNA (*Task 4*).

Body:

1) Analysis of RARB promoter (Task 1)

a) DNA: protein interactions. We have utilized both the wild type and mutant synthetic, double-stranded BRARE DNA probes, with a direct repeat separated by 5 nucleotides in gel shift assays:

BRAREs	
WT	GGGTAGGGTTCACCGAAAGTTCACTCG
Mutant:	GGGTAGG <u>CTTAC</u> CCGAAAGTTCACTCG
*= non-identi	ty * **

And, as an additional negative control, wild type and mutant DNA probes for a consensus **RXRE**, with a direct repeat separated by 1 nucleotide:

ATTITUDY WITH CONTROL TO PORT OF	pulation by 1 hadroomer.
RXREs	
WT	CTCTGCAGGTCACAGGTCACTTTTCCT
Mutant:	CTCTGC <u>ACGTAC</u> CAGGTCACTTTTCCT
*= non-identity	* **

to test the specificity and sensitivity of DNA:nuclear protein interactions in normal, tumor, and RARB gene-transduced breast cancer cells [16]. We have also assayed the specificity of the DNA:protein complexes using RAR α -, RXRB- and RARB-specific antibodies in gel super-shift assays. To address the possibility that other known proteins that "cross talk" with the nuclear retinoic acid receptors are involved in aberrant DNA:protein:protein complexes involving the BRARE in breast tumor cells, we initiated gel shift and supershift assays using AP1 DNA probes and an antibody to the early response/transcription factor, c-jun.

We have tested the following nuclear extracts from cells grown in the presence or absence of RA with ³²P-labeled probes:

- •2 normal HMEC strains
- •3 breast cancer cell lines: MCF7, MDA-MB-231, and Hs578T

•2 series of clones and controls of RARB-transduced breast cancer cells, MCF7 and MDA-MB-231

Results:

- •Both the RAR α and RAR β antibodies caused a supershift in DNA:protein complexes when the target DNA is wild type β RARE. This was observed in both normal and tumor cells
- •RXRE complexes are of a lower (less dense) mobility for normal HMECs compared to tumor cells
- •MDA-MB-231 cells (parentals, controls, and RAR\u00e3-transduced) exhibit diminished binding to the RXRE in cells cultured in RA compared to MCF7 RAR\u00e3-transduced cells and controls.
- •Both MCF7- and MDA-MB-231- RAR\u00d3-transduced cells exhibit abundant supershift complexes specifically with an antibody to RAR\u00e8

b) Sequence analysis of the RAR\$ promoter in breast cancer cell lines: In addition to the three primer sets discussed in last year's progress report, we have utilized an additional primer set (IV).

nave unitzed all additi	ond primer ou	
Primer set I	forward:	5'- AGGAGCAGCGTCCCGGC-3'
(Region B)*	reverse	5'-CCTACTACTTCTGTCAC-3'
		inclusive for nucleotides -262 to +16
		relative to the start of transcription:
Primer set II	forward:	5'- GTGGCCTGTGTGTTTGGGAC-3'
(Region A)	reverse	5'-CTCGCAGTGTAGAAATCCAGG-3'
` ` '		inclusive for nucleotides -721 to -464 relative to
		the start of transcription
Dui	£1.	
Primer set III		5'- GTGGCCTGTGTGTTTGGGAC-3'
(Region D)	reverse	5'-CTCGCAGTGTAGAAATCCCAGG-3'
1		inclusive for nucleotides -721 to +535
		relative to the start of transcription
Primer set IV	forward:	5'- GTGGCCTGTGTGTTTGGGAC-3'
(Region C)	reverse	5'-CCTACTACTTCTGTCAC-3'
		inclusive for nucleotides -721 to +17
		relative to the start of transcription
*See also Appendix I	for "regions"	*

Thus we will be able to compare and verify the sequences of overlapping fragments for each cell line.

We have extracted genomic DNA from 13 breast cancer cell lines and one normal cell line. In the majority of these lines, we extracted DNA from cells received from different sources. For example we have MCF7 cells from ATCC, the Michigan Cancer Foundation, and the Dana-Farber Cancer Institute. Lacking the ability to test differently derived cell sources, we have also obtained DNA from different culture passages in order to be confident that any changes we may see in the sequence of the promoter were likely present in the initially isolated tumor sample or at an early passage in our laboratory. We have designed and

implemented experiments to amplify by PCR each of the four specific regions of the promoter for 33 DNA purifications. PCR amplicons were detected by ethidium bromide staining of 1.8% agarose gels containing electrophoresed- PCR reactions and DNA markers for size determination. DNA bands were excised by a sterile razor blade and purified over a micro concentrator purification column (Amicon). In several instances, two bands were visualized for one PCR, depending on the cell line. In those cases, both bands were excised. Automated fluorescent sequencing was performed by J. Strange, Murdock Laboratory, Univ. of Montana, using one or both of the primers used in the PCR amplification. Currently we are analyzing each region for homology to the published sequence of the RAR\$ promoter. using a subroutine, Pileup, in GCG (a sequence analysis package, Genetics Computer Group, Madison, WI) on the mainframe computer, McClintock at the University of Washington.

Results:

68 sequences from 13 breast cancer cells are under analysis. Regions of mismatch or gaps are examined on the chromatogram for that sequence. In several cases, we anticipate having to re-amplify and sequence manually. See Appendix I for an example of a sequence alignment.

c) Luciferase reporter gene constructs for studying the specific negative regulatory elements utilized by breast tumor cells. We have amplified constructs and verified restriction fragments/inserts for the following RARB promoter luciferase reporter constructs, all of which were placed in the pGL2 basic vector (Promega):

construct name:	Size of promoter frag. & element(s)*
pL-015	1.655 kb includes TRE, CRE, & BRARE
pL-016	0.902 - includes TRE, CRE, & BRARE
pL-017	0.677 -includes TRE, CRE, & BRARE
pL-018	0.411 -includes TRE, CRE, & BRARE
pL-019	0.398 - includes TRE, CRE, & BRARE
pL-020	0.279 - includes TRE, CRE, & BRARE
pL-021	0.233 - TRE + BRARE
pL-022	0.215 -wt BRARE
pL-023	$0.233 - mtTRE + \beta RARE$
pL-025	0.268 - mtCRE + \(\beta RARE \)
pL-026	0.305 - CRE+TRE+mtBRARE
pL-027	0.305 - CRE+mtTRE+mtBRARE

* TRE = thyroid hormone response element; CRE = cAMP-response element; BRARE = retinoic acid response element. All of these elements are found the promoter the RARB gene. Constructs originated by Hui Tsou, Columbia University.

Following transient transfection with lipofectin (Gibco, BRL), five of these constructs (-015; -018, -019, -020, and -021) have been tested for luciferase activity in RARB-transduced tumor lines along with positive and negative control plasmids, pGL2 control and pGL2basic. We feel confident that we can perform similar experiments in tumor cell lines.

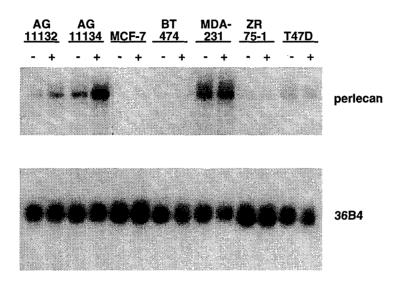
2) Functional RARß gene in breast tumor cells and phenotypic changes (Task 2): We have introduced the full length human RARß gene into four breast tumor cell lines: MCF7, MDA-MB-231, MDA-MB-435, and BT 474 (Cell Growth and Differentiation 6:1077-1088, September 1995).

We have begun a phenotypic characterization of the RARB-transduced cells. We observed that the transduced tumor cells expressing RARB constitutively exhibited a more flattened adherent morphology in culture. We questioned if this was a direct or indirect result of reconstituted RARB expression. In order to begin to answer this issue, we examined normal HMECs in order to determine if they, too, underwent apoptosis as the RARB-transduced breast tumor cells did when cultured in RA. We found, in contrast, that normal HMECs failed to undergo apoptosis, but instead underwent a state of growth arrest. This distinguishing result between normal and tumor cells is quite important in light of the possible chemotherapeutic use of retinoid compounds (see Manuscript, Appendix II) e.g., if normal cells are preserved, while tumor cells are selectively killed.

We have examined a number (10) of down-stream candidate genes (both oncogenes, cell cycle regulatory genes, and genes that might affect cell morphology) for altered expression as a consequence of the introduction of the RARB-gene.

The one candidate that exhibited altered regulatory expression when normal or tumor cells are cultured in RA was the extracellular matrix molecule gene, perlecan (see attached, Figure 1, 2 and 3) Upregulation of perlecan is significant for several aspects of cellular physiology: a) perlecan may serve as a reservoir for positive or negative regulatory growth factors; and b) perlecan deposition is important in maintaining an intact basement membrane [23]. Other investigators have examined perlecan in primary breast cancers and find that more advanced tumor beds exhibited loss of perlecan protein accompanying a loss of intact basement membrane [24].

Figure 1



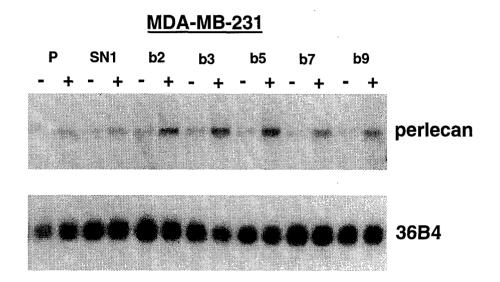
Expression of the perlecan gene in normal and breast cancer cells in response to culture in retinoic acid. Cells were cultured for 48 hours in the absence (-) or presence (+) of 1 μ M retinoic acid. Total RNA was collected and Northern blot analysis was performed. The blot was first probed with a cDNA for perlecan [25] and subsequently with a control probe, 36B4 (see [15, 16] for details of growth, RNA collection and Northern analysis).

Results summary: Perlecan exhibits up-regulation by retinoic acid in two normal HMEC strains, AG-11132 and AG-11134. It lacks appreciable expression in 4 estrogen receptor positive breast tumor cell lines, MCF-7, BT-474, ZR-75-1, and T47D. Perlecan exhibits up regulation in the estrogen receptor negative breast cancer line, MDA-MB-231.

Significance: Elaboration of basement membrane protein perlecan may be down-regulated or altered, particularly in estrogen receptor positive tumors.

Note: this work (and the results shown in Figures 2 and 3) is the result of a collaborative effort among Drs. Michael Kinsella (a proteoglycan expert in the Dept. of Pathology at the University of Washington) and Victoria Seewaldt (Department of Medical Oncology, University of Washington).

Figure 2



Expression of the perlecan gene RARB-transduced breast cancer cell line, MDA-MB-231. Cells were cultured for 48 hours in the absence (-) or presence (+) of 1 μ M retinoic acid. Total RNA was collected and Northern blot analysis was performed. The blot was first probed with a cDNA for perlecan as described in Figure 1. P = parental line; SN1 = one vector-alone clone; b2, b3, b5, b7, b9 = five independent clones of MDA-MB-231 transduced with a retroviral vector containing the human RARB gene (normally MDA-MB-231 cells do not express RARB mRNA.

Results summary: MDA-MB-231 cells transduced with the RARB gene exhibit enhanced expression (about 10-fold) of the perlecan gene when cells are cultured in RA.

Significance: The basement membrane protein perlecan is a likely downstream gene whose expression is regulated by retinoic acid through transcriptional activation of the RARB gene. Activation of the perlecan gene is predicted in an *in vivo* model of breast cancer (nude mouse studies).

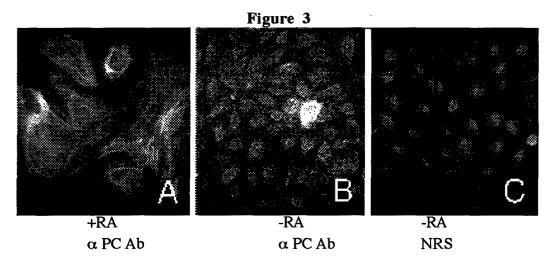


Fig. 3. Perlecan protein deposition is facilitated by RA. Normal HMEC strain, AG-11132 was plated onto slides coated with gelatin and cultured for 3 weeks in the absence of RA. Panel A: HMECs cultured in RA for four days. Panels B and C: HMECs cultured for four days in the absence of RA. All cells were fixed cold in 3% paraformaldehyde, followed by ice cold methanol fixation. Immunocytochemistry was performed with a fluorescence labeled-perlecan antibody [α PCab](1:500 dilution) to cells shown in panels A and B (EY9; rabbit anti-mouse; John Hassell, University of Pennsylvania). Panel C was incubated with normal rabbit serum [NRS].

Results: Normal cells cultured in RA exhibit a distinct alteration in the extracellular matrix as a result of perlecan protein expression, as evidenced by antibody staining in situ.

In order to further characterize the RARB-transduced cells we have initiated nude mouse studies to determine if breast cancer cells that contain the reconstituted RARB gene show altered tumor growth kinetics *in vivo*. Our first experiments were initiated this summer. We inoculated 4 animals each with 10⁶ or 10⁵ MDA-MB-435 breast tumor cells as well as sham (media) control into the mammary fat pad of Balb/c nu/nu female mice. We have obtained experimental design advice from Dr. Janet Price, University of Texas, M.D. Anderson Cancer Center, Houston, TX [26]. Our initial experiment was performed in order to determine our success in animal-survival during surgery (100% survived) as well as the time for progression of tumor "take". Animals were sacrificed at 9 weeks and necropsy was performed. We have been very fortunate to have the expert advice and scientific collaboration of investigators from the Comparative Medicine at the University of Washington, Drs. Lillian Price and Ted Birkebak. Dr. Price assisted in the surgeries and in the monitoring of the animals in a specific pathogen free facility. Dr. Birkebak, a veterinary pathologist assisted us in necropsy.

In our next experiment we will test if the RARB-transduced cells MDA-MB-435 cells exhibit a different biologic course in the nude mouse. We anticipate no difficulties in fulfilling this task.

3) Determination of genes expressed as a consequence of culture in retinoic acid (Task 3): We have continued our studies to detect differences in gene expression in cells cultured in the differentiating agent, retinoic acid. We have used the method of differential display (DD) of mRNA [6] to identify genes regulated by RA. The tables below show our progress in two cell models. The first (Table 1) summarizes our work with MCF7 breast cancer cells; Table 2 summarizes our work with normal HMECs (AG11132 cells). In both models we selected primarily potential mRNAs that were up-

regulated upon RA culture. In summary, we have 4 genes of known function and, at this time in the sequencing strategy, 4 novel genes that exhibit enhanced transcription with RA.

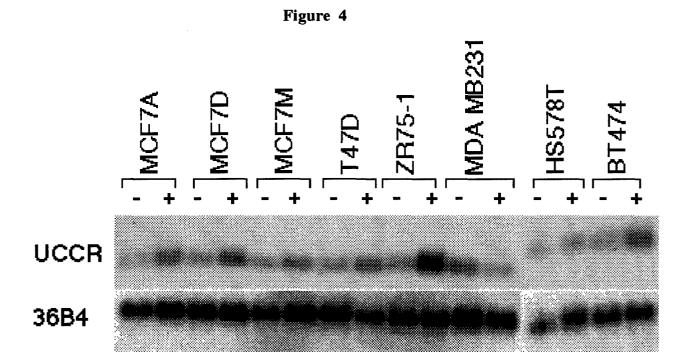
Differential Display summary I:

Selection of DD PCR products with RA-associated Enhanced Expression in MCF7 breast cancer cells

Status	Number	
Number of primer combinations tested	25	
Bands eluted from DD gel	34	
Positive candidates on screening Northern	16	
Gene candidates cloned + positive on second Northern screen	13	
Gene candidates partially sequenced	5	
Sequenced candidates for continued studies	5	
Candidates for functional studies	1	
Candidates to be cloned	1	

We have elected to further investigate the functional properties one candidate, which exhibits approximately 4-5-fold increased expression in MCF7 cells cultured in 1 μM retinoic acid for 48 hours. This gene encodes for a protein related to a ubiquinol-cytochrome C reductase 9.5 kD polypeptide (UCCR). This peptide likely resides in the mitochondria inner space and participates in electron/proton pumping, and ultimately the energy metabolism of cells. We have screened a cDNA library prepared from ZR-75-1 cells (Clontech) and obtained plaques containing various lengths of this gene. The longest clone is ~700 nucleotides, which we believe contains the full-length cDNA sequence, based on the published bovine sequence. The human gene has not been reported. We have begun studies using FACS analysis and mitochondria-specific dyes to ascertain if RA effects electron transport.

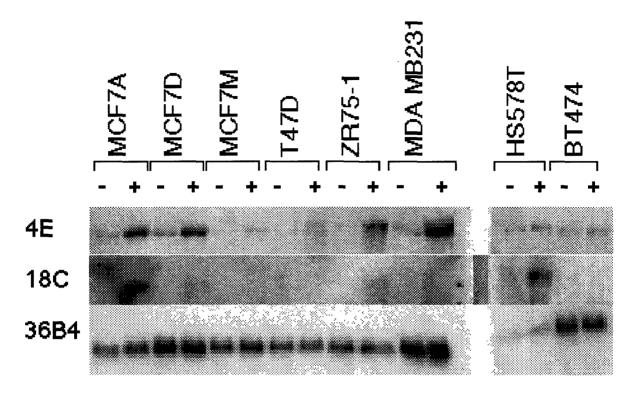
Examples of the expression pattens for a sample of these above genes or gene candidates is shown in Figures 4 and 5 below.



Expression of the human 9.5 kD ubiquinol-cytochrome C reductase (UCCR) gene in breast cancer cells in response to culture in retinoic acid. All cells were cultured for 48 hours in the absence (-) or presence (+) of 1 μ M retinoic acid. As with Figures 1 and 2, total RNA was collected and Northern blot analysis was performed with 10 μ g of total RNA. The blot was first probed with the cloned differential display amplified product and subsequently with a control probe, 36B4 (see previous figures for references on the details of Northern analysis).

Results summary: The expression of the UCCR gene, encoded in the nucleus, with the gene product utilized in complex III of electron transport in the mitochondria, is upregulated in 5/6 breast tumor cell lines. Note: we tested three independent isolates of MCF7, denoted M, D, and A (M = Michigan Cancer Research Foundation; D = Dana-Farber Cancer Institute, Boston; and A = American Type Culture Collection.)

Figure 5



Expression of two genes identified by differential display in breast cancer cells in response to culture in retinoic acid. All cells were cultured for 48 hours in the absence (-) or presence (+) of 1 μ M retinoic acid. As with Figures 1 and 2 and 4, total RNA was collected and Northern blot analysis was performed with 10 μ g of total RNA. The blot was first probed with the cloned differential display (DD) amplified products (4E and 18C) and subsequently with a control probe, 36B4 (see previous figures for references on the details of Northern analysis).

Results summary: The expression results from two cloned DD-PCR products which have been sequenced and found to be novel. Both genes are upregulated by RA in MCF7M cells, and to various extents expressed and upregulated in other breast cancer cell lines. They are both novel in that neither encodes for a known gene, but they both have been cloned and submitted to GenBank as anonymous, EST (expressed sequence tagged) sequences. Note: This is a different blot from Figure 4. We tested three independent isolates of MCF7, denoted M, D, and A (M = Michigan Cancer Research Foundation; D = Dana-Farber Cancer Institute, Boston; and A = American Type Culture Collection.)

Differential Display summary II:

Selection of DD PCR products with RA-associated Enhanced Expression in Normal HMECs, AG11132

Status	Number	
Number of primer combinations tested	33	
Bands eluted from DD gels	9	
Positive candidates on screening Northern	8	
Gene candidates cloned	3	
Gene candidates partially sequenced	3*	
Library screening	N/D	

^{*}One candidate encodes a human pre-mRNA splicing factor 2 p32; the second candidate encodes the human dihydrodiol dehydrogenase; and the third shows homology to human clordecone reductase by Blast algorithm searches (World Wide Web databases and GCG).

4) Initiation of studies to determine quantitative and qualitative expression of the RARB gene in vivo (Task 4).

We have made the least progress on this task during the past year, due primarily to technical difficulties and irreproducibility of experiments, based on the techniques of Ferrari et al. [27]. In situ experiments have been even more challenging. We have plans for specific changes in procedures or tactics.

- a) We have been unable to amplify reproducibly the RARB gene. We are currently revising our strategy for semiquantitative PCR of the RARs from tissue samples, by designing different primers.
- b) We have found the RNA:RNA in situ experiments to be problematic in obtaining consistent, repeatable results. We find that tissue from breast biopsy specimens (formalinfixed and paraffin embedded) to be exquisitely sensitive to Proteinase K digestion. This observation was confirmed to us by Dr. Rubin Lotan's group (discussions at recent FASEB Retinoid meeting).

We have continued to bank breast biopsy specimens and currently have accrued samples from over 140 individuals.

Conclusions:

- 1. Nuclear extracts from RARB-transduced breast cancer cells exhibit high levels of protein binding, specifically involving RARB protein, to the wild type: BRARE. We also have demonstrated RARB-transduced breast cancer cells show differential protein binding to an RXRE consensus. We preliminarily determined that approximately 50% of the tumor cell lines exhibit mutations/deletions in the RARB promoter. Full confirmation of this preliminary finding will lead us to a more intelligent analysis of potential mutation spectra analysis of the RARB gene in primary breast tumors.
- 2. We have demonstrated that one phenotypic sequela of breast cells cultured with retinoic acid is induction of the gene and gene product for perlecan, a heparan sulfate proteoglycan, deposited in the extracellular membrane space.

3. We have identified 9 genes that exhibit enhanced expression in either normal or breast tumor cells when cells are cultured in retinoic acid, identified either by "logic" or by differential display methodology.

Retinoic acid and the nuclear receptor, RARB, regulate a wide variety of cellular functions and biochemical pathways, from the mitochondria to the extracellular space, in order to maintain cellular homeostasis in mammary epithelial cells.

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Appendix I

Example of primary sequence analysis of the RARB promoter using the PILEUP algorithm in GCG (Genetics Computer Group).

Hierarchical identifiers include:

- •#s 1-24 = coded cell line number
- •A, B, C, or D = region of promoter
- •F= forward primer
- •i or ii = higher molecular weight (i) or lower molecular weight (ii) band excised from PCR gel.

The example shown here does not include the "wild type" RARB promoter sequence (GenBank identifier X56849).

PileUp of: @total.fil

Symbol comparison table: GenRumData:pileupdna.cmp CompCheck: 6976

GapWeight: 5.000 GapLengthWeight: .300

total.msf MSF: 433	Type: N	Septembe	er 18, 199	6 09:16	Check:	3455
Name: 5cCF	Len:	433 Che	ck: 5055	Weight:	1.00	
Name: 7cCF	Len:		ck: 2057	Weight:	1.00	
Name: 14aiAF	Len:		ck: 176	Weight:	1.00	
Name: 17aiAF	Len:		ck: 7737	Weight:	1.00	
Name: 13ciCF	Len:		ck: 6273	Weight:	1.00	
Name: 19CiiF	Len:		ck: 117	Weight:	1.00	
Name: 19aiAF	Len:		ck: 511	Weight:	1.00	
Name: 1CCF	Len:		ck: 9047	Weight:	1.00	
Name: 23CiF	Len:		ck: 9818	Weight:	1.00	
Name: 21CiF	Len:		ck: 9009	Weight:	1.00	
Name: 8ciCF	Len:		ck: 4028	Weight:	1.00	•
Name: 2cCF	Len:		ck: 770	Weight:	1.00	
Name: 3aAF	Len:		ck: 5286	Weight:	1.00	
Name: 6aAF	Len:		ck: 4956	Weight:	1.00	
Name: 18aiAF	Len:		ck: 9622	Weight:	1.00	
Name: 24cCF	Len:		ck: 6732	Weight:	1.00	
Name: 24aAF	Len:		ck: 4428	Weight:	1.00	
Name: 22CiF	Len:		ck: 2612	Weight:	1.00	
Name: 4cCF	Len:		ck: 58	Weight:	1.00	
Name: 12ciiCF	Len:		ck: 496	Weight:	1.00	
Name: 16ciiCF	Len:		ck: 1982	Weight:	1.00	
Name: 15ciiCF	Len:		ck: 3599	Weight:	1.00	
Name: 23CiiF	Len:	433 Che	ck: 8658	Weight:	1.00	
Name: 20CiiF	Len:	433 Che	ck: 8999	Weight:	1.00	
Name: 22CiiF	Len:	433 Che	ck: 8941	Weight:	1.00	
Name: 13ciiCF	Len:	433 Che	ck: 7896	Weight:	1.00	
Name: 17ciiCF	Len:	433 Che	ck: 9239	Weight:	1.00	
Name: 8ciiCF	Len:	433 Che	ck: 2496	Weight:	1.00	
Name: 19DF	Len:	433 Che	ck: 591	Weight:	1.00	
Name: 17bBF	Len:	433 Che	ck: 1866	Weight:	1.00	
Name: 3bBF	Len:	433 Che	ck: 5055	Weight:	1.00	
Name: 24bBF	Len:	433 Che	ck: 5545	Weight:	1.00	
Name: 14bBF	Len:	433 Che	ck: 7573	Weight:	1.00	
Name: 20BF	Len:	433 Che	ck: 5184	Weight:	1.00	
Name: 12diDF	Len:	433 Che	ck: 1469	Weight:	1.00	
Name: 13diDF	Len:		ck: 624	Weight:	1.00	
Name: 18bBF	Len:		ck: 3610	Weight:	1.00	
Name: 4diDF	Len:		ck: 4445	Weight:	1.00	
Name: 7diDF	Len:		ck: 8088	Weight:	1.00	
Name: 1diDF	Len:		ck: 7636	Weight:	1.00	
Name: 5diDF	Len:	433 Che	ck: 598	Weight:	1.00	

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433 Check: 1929 Weight: 1.00
Name: 22Di
                   Len:
Name: 16dDF
                   Len:
                         433 Check: 4522 Weight: 1.00
                         433 Check: 4888 Weight: 1.00
Name: 23DiF
                   Len:
                         433 Check: 2239 Weight.
Name: 15dDF
                   Len:
                         433 Check: 226 Weight: 1.00
Name: 19BF
                  Len:
                         433 Check: 226 Weight: 1.00
Name: 19CiF
                  Len:
                         433 Check: 2357 Weight: 1.00
Name: 24dDF
                   Len:
                         433 Check: 7896 Weight: 1.00
Name: 2dDF
                  Len:
                         433 Check: 8688 Weight: 1.00
Name: 8diDF
                  Len:
Name: 14aiiAF
                         433 Check: 9795 Weight: 1.00
                  Len:
                         433 Check: 175
                                       Weight:
Name: 18aiiAF
                   Len:
                                               1.00
Name: 17aiiAF
                  Len:
                         433 Check: 80 Weight:
                                               1.00
                         433 Check: 9597 Weight: 1.00
Name: 19aiiAF
                  Len:
Name: 21DiiF
                         433 Check: 7955 Weight: 1.00
                   Len:
11
                                                  50
       .....TG GCAGACTGGA ..TTGGATCT AGTTCAGTNT GATTCNCCAA
  5cCF
       ......G GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
  7cCF
14aiAF .....TG GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
       .....GATG GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
17aiAF
       .....TG GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
13ciCF
19CiiF
       .....TG GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
       .....TG GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
19aiAF
       .....TAG GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
  1CCF
       .....TG GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
 23CiF
       .....TG GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
 21CiF
 8ciCF
       ..... GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
  2cCF
       .....G GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
       ..... GCATACTGGA ATAGGAATCT ATTTCACTCT GATTCCCCAC
  3aAF
  6aAF
       ..... GCNTACTGGA ATAGGAATCT ATNNCACTCT GATNCCCCAC
       .....TG GCAGACTGG. ATTGGAATCT AGTTCAGTTT GATTCCCCAA
18aiAF
 24cCF
       .cCF.seqTG GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
       ......G GCAGACTGGA ATTGGAATCT AGTTCAGTTT GATTCCCCAA
 24aAF
       ......G GCAGACTGGA ATTGGAA.CT AGTTCAGTTT GATTCNCCAA
 22CiF
       .....CTNG AAGAATTNNN ATGAANNTCT AGTTCATTGT NATACNCCNN
  4cCF
12ciiCF
       .....CA TAGCAAGAAT TTAAAGTCCA
       ......CA TACCAAGAAT TTAAAGTCCA
16ciiCF
       ......GCA TCCCAAGAAT TTAAAGTCCA
15ciiCF
23CiiF
       ......GCA TAGCAAGAAT TTAAAGTCCA
20CiiF
       ......CA TAGCAAG.AN TTAAAGTCCA
       ......GCA TAGCAAG.AN TTAAAGTCCA
22CiiF
13ciiCF
       17ciiCF
8ciiCF
       ...... C CGNNCAAGAA TTANAGTCCA
       ......GC NCNGCAAGAT TTACAGTCCA
  19DF
       ..... CCCTCGCCCT CGCTCATTTT AANAGCNCTT
 17bbf
       ...... ACNCGCCCN CGCNCATNNA AACNNCNCTT
  3bBF
 24bBF
       ..... GNCNCGCCC AGCTCATNNG AANAGCNCCT
       14bBF
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Session	Name:	Barbara
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20BF			CCTCGCCC		AANANCNCTT
12diDF			CTCGCCC	TGCTCATTTT	AAAAGCACTT
13diDF	• • • • • • • • • •	• • • • • • • • • • • • • • • • • • • •	CCTCGCCC	TGCTCATTTT	AAAAGCACTT
18bDF	• • • • • • • • • • • • • • • • • • • •		CTCGCCC	TGCTCATTTA	AANANCACTT
4diDF		• • • • • • • • •	CN	CGCTCANTTT	AANANCACTT
7diDF	• • • • • • • • •	• • • • • • • • • •	CT	CGCTCATTTT	AAAAGCNCTT
1diDF		• • • • • • • • • •	TCGCCC	TGCTCATTTT	AAAACCACTT
5diDF		• • • • • • • • •	C	NGCTCATTTT	AAAACCACTT
22Di	• • • • • • • • • • • • • • • • • • • •	• • • • • • • • • • • • • • • • • • • •	.CCCTCGCCC	TGCTCATTTT	AAAAGCACTT
16dDF	• • • • • • • • • •	• • • • • • • • • •	CTCGCC	TGCTCATTTA	AAAAGCACTT
23DiF	• • • • • • • • • •	• • • • • • • • •	CCCTGGCC	TGCTCATTTT	AAAAGCACTT
15dDF	• • • • • • • • • •	• • • • • • • • • •	GGACC	CGCTCATNTN	AANACCNCTT
19BF	• • • • • • • • • •	• • • • • • • • • • •	CCCT	CNCTCATTTT	AAAAGCNCNT
19CiF	• • • • • • • • •		CCCT	CNCTCATTTT	AAAAGCNCNT
24dDF		• • • • • • • • •	CTCGCCC	TGCTCATTTT	AAAAGCACTT
2dDF			CTCGCCC	AGCTCATTTT	ACAAGCACTT
8diDF	GAAAANNA	NTNTNTTCAC	CNCCCTGGAN	TGCTTTTTTT	ATATNCTTTT
14aiiAF	• • • • • • • • •	• • • • • • • • •	• • • • • • • • •	• • • • • • • • • •	• • • • • • • • • • • • • • • • • • • •
18aiiAF			• • • • • • • • • •		• • • • • • • • • •
17aiiAF			• • • • • • • • • •	• • • • • • • • • •	• • • • • • • • • •
19aiiAF					
21DiiF	CCTGGAGAAC	GAGGAAATAT	GTGCNATAGT	TAAATCNNGA	NGTGGTTTGC
	F.1				100
F 0.7	51			a	100
5cCF	CCCATGCTCT	TGACCACTAT	ACTGTATTTT	CAAGTCCAGA	
7cCF	CCCATGCTCT	TGACCACTAT	ACTGTATTTT	CAAGTCCAGA	
14aiAF	CCCATGCTCT	TGACCACTAT	ACTGTTTTT	CAAGTCCAGA	
17aiAF	CCCATGCTCT	TGACCACTAT	ACTGTTTTT	CAAGTCCAGA	
13ciCF	CCCATGCTCT	TGACCACTAT	ACTGTTTTT	CAAGTCCAGA	
19CiiF	CCCATGCTCT	TGACCACTAT	ACTGTTTTT	CAAGTCCAGA	
19aiAF	CCCATGCTCN	TNANCACTAT	NCTGTTTTT	CAAGTCCAGA	
1CCF	CCCATGCTCT	TGACCACTAT	ACTGTTTTT	CAAGTCCAGA	
23CiF	CCCATGCTCT	TGACCACTAT	ACTGTTTTTT	CAAGTCCAGA	·
21CiF	CCCATGCTCT	TGACCACTAT	ACTGTTTTT	CAAGTCCAGA	
8ciCF	CCCATGCTCT	TGACCACTAT	ACTGTTTTT	CAAGTCCAGA	TCTGAAATCT
2cCF	CCCATGCTCT	TGACCACTAT	ACTGTTTTTT	CAAGTCCAGA	TCTGAAATCT
3aAF	CCCATNCNCT	NTACCACTAC	NNNGTATTTT	CAAGTCCAGA	
6aAF			NNNGNATTTT		
18aiAF			ACTGTTTTT		
24cCF			ACTGTTTTT		_
24aAF			ACTGTTTTTT		
22CiF			ACTGTTTTT		
4cCF			ACTGTATTCT		
12ciiCF			GACTCACACT		
16ciiCF			GACTCACACT		
15ciiCF			GACTCACACT		
23CiiF			GACTCACACT		
20CiiF			GACTCACACT		
22CiiF			GACTCACACT		
13ciiCF			GACTCACACT		
17ciiCF	CMCCMMMCMC	TTAGAGCCTG	GACTCACACT	GTGCAGTAAA	TTTGATGCAA

8ciiCF	NTGGTTTGTC	TTANAGCCTG	GACTCACACT	GTGCAGTAAA	TTTGATGCAA
19DF	GTGGTTTGTC	TTAGAGCCTG	GACTCACACT	GTGCAGTAAA	TTTGATGCAA
17bBF	CTTGTATTGT	TTGT.NAGGT	GAGAAAAAAG	AAANANCACG	CCGGCTTGTG
3bBF	CTTGTTTTGT	TTCN.GAACT	<u>NAAAAAAAN</u>	AAACANCNCG	CCGGCTNNTN
24bBF	CTTGTATTGT	TTGTAAANGT	GAAAAAAAAG	AAACAANNCG	CCGGCTTGTG
14bBF	CTTGTATTGT	TTTT.AAGGT	GAGAAAGAGN	ANAGACCNCG	CCGGCTTGTN
20BF	CTTGTATTGT	TTGT.AAAGT	GAGAAAGAGN	ANANANCGCN	CCGGCTTGTC
12diDF	CTTGTATTGT	TTTT.AAGGT	GAGAAATAAG	AAAGAAAACG	CCGGCTTGTG
13diDF	CTTGTATTGT	TTTT.AAGGT	GAGAAATAAG	AAANAAAACG	CCGGCTTGTG
18bBF	CTTGTATTGT	TTNT.AAGGT	GAGAAAAAAG	AAANAANACG	CCGGCTTGTG
4diDF	CTTGTATTGT	TTTT.NNGGT	GAGAAAGAAG	AAACAACCCG	CCGGCTTGTG
7diDF	CTTGTATTGT	TTNT.NNGGT	GAGAAANAGG	AAANANCNCN	CNGGCTTGTN
1diDF	CTTGTATTGT	TTTT.AAGGT	GAGAAATAAG	AAANAACACG	CCGGCTTGTG
5diDF	CTTGTATTGT	TTTT.AAGGT	GAGAAATANN	AAAAAACACG	CCGGCTTGTG
22Di	CTTGTATTGT	TTTT.AAGGT	GAGAAATAGG	AAAGAANGCG	CCGGCTTGTG
16dDF	CTTGTATTGT	TTNT.NNGGT	GAGAAANAGG	AAANANCNCG	CCGGCTTGTG
23DiF	CTTGTATTGT	TTTT.AAGGT	GAGAAAGAGN	ANAGAANNCG	CCGGCTTGTN
15dDF	CTTGTATTGT	TTNT.AANGT	GAGAAAGANN	AAAGANCGCG	CCGGCTTGTG
19BF	CTTNTATTGT	TTGT.AAGGT	GAGAAATAGG	AAAGACCCCG	CCGGCTTGTG
19CiF	CTTNTATTGT	TTGT.AAGGT	GAGAAATAGG	AAAGACCCCG	CCGGCTTGTG
24dDF	CTTGTATTGT	TTTT.AAGGT	GAGAAATAGG	AAANAAAACG	CCGGCTTGTG
2dDF	CTTGTATTGT	TTTTAACGTG	AGAAATANGA	AAGGAAAACG	CCGGCTTGTG
8diDF	CTTNTTTTGT	TTTT.NNNGT	GAGANANAAN	AANNAACNCN	CTNGCNTNTT
14aiiAF					
18aiiAF					
17aiiAF					
19aiiAF					
21DiiF	ATCNCTTCTG	TCTCCAGTNG	GGAGGTGANG	ACTTATTCNT	ATGNGGCCAC
	101				150
5cCF	CATTNGCTGT	GTGGCTGTGT	GTTTGGGACA	NGGGTAACCA	ATTCCTGACT
7cCF	CATTTGCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
14aiAF	CATTTTCTGT	GTGGCTGTGT	${\tt GTTTGGGACA}$	GGGGTAACCA	ATTCCTGACT
17aiAF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
13ciCF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
19CiiF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
19aiAF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
1CCF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
23CiF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
21CiF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
8ciCF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
2cCF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
3aAF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
баАF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
18aiAF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
24cCF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
24aAF	CATTTTCTGT	GTGGCTGTGT	GTTTGGGACA	GGGGTAACCA	ATTCCTGACT
22CiF		GTGGCTGTGT			
4cCF	CNNTNGCNGT	GTGGCTGTGT	GTCNGGGACA	NGGGTNACCA	NTTCCTGACT
12ciiCF	TTTGATGGTC	GGATGACAGG	G.AGGGGGAA	GAATACAGGA	CACCAGCAGA
16ciiCF	TTTGATGGTC	GGATGACAGG	G.AGGGGGAA	GAATACAGGA	CACCAGCAGA

15ciiCF	TTTGATGGTC	GGATGACAGG	G.AGGGGGAA	GAATACAGGA	CACCAGCAGA
23CiiF	TTTGATGGTC	GGATGACAGG	G.AGGGGGAA	GAATACAGGA	CACCAGCAGA
20CiiF	TTTGATGGTC	GGA!TGACAGG	G.AGGGGGAA	GAATACAGGA	CACCAGCAGA
22CiiF	TTTGATGGTC	GGATGACAGG	G.AGGGGGAA	GAATACAGGA	CACCAGCAGA
13ciiCF	TTTGATGGTC	GGATGACAGG	G.AGGGGGAA	GAATACAGGA	CACCAGCAGA
17ciiCF	TTTGATGGTC	GGATGACAGG	GCAGGGGGAA	GAATACAGGA	CACCAGCAGA
8ciiCF	TTTGATGGTC	GGATGACNNG	G.AGGGGGAA	TAATACACGA	CACCAACAGA
19DF	TTTGATGGTC	GGATGACAGG	G.AGGGGGAA	GAATACACGA	CACCACCACA
17bBF	CNCTCGCTNC	CTGCCTCTCT	GGCTGTCTGC	TNNGGCNGGG	CTGCTNGGAT
3bBF	CCCNCNCNCC	CNGCCTCTCT	GGNTGTCTGC	GGGNGCNGGG	CTGCTTNNAT
24bBF	CNCTCGCTNC	CNGCCTCTCT	GGCTGTCTGC	NNNNGCNGGG	CTGCTGGGAT
14bBF	CCCTCGCTNC	CTGCCTCTCT	GGCTGTCTGC	TNNNGCNGGG	CTGCTNGGAT
20BF	CCCTCGCTGC	CTGCCTCTCT	GGNTGTCTGC	TNTNGCAGGG	CTGCTTGNAT
12diDF	CGCTCGCTGC	CTGCCTCTCT	GGCTGTCTGC	TTTTGCAGGG	CTGCTGGGAT
13diDF	CGCTCGCTGC	CTGCCTCTCT	GGCTGTCTGC	TTTTGCAGGG	CTGCTGGGAT
18bBF	CGCTCGCTGC	CTGCCTCTCT	GGCTGTCTGC	TNNNGCNGGG	CTGCTGGGAT
4diDF	CCCTCGCTGC	CTGCCTCTCT	GGCTGTCTGC	TTTTGCAGGG	CTGCTNGNAT
7diDF	CCCNCNCTNC	CTGCCTCTCT	GGCTGTCTGC	TTTNGCNGGG	CTGCTGGGAT
1diDF	CGCTCGCTGC	CTGCCTCTCT	GGCTGTCTGC	${\tt TTTTGCAGGG}$	CTGCTGGGAT
5diDF	CNCTCGCTGC	CTGCCTCTCT	GGCTGTCTGC	TTTTGCNGGG	CTGCTGGGAT
22Di	CGCTCGCTGC	CTGCCTCTCT	GGCTGTCTGC	TTTTGCAGGG	CTGCTGGNAT
16dDF	CNCTCGCTGC	$\mathtt{CTGCCTCTCT}$	GGCTGTCTGC	${\tt TTTTGCAGGG}$	CTGCTGGGAT
23DiF	CCCTCGCTGC	CTGCCTCTCT	GGCTGTCTGC	TTTTGCAGGG	CTGCTNGNAT
15dDF	CCCTCGCTNC	CTGCCTCTCT	GGNTGTCTGC	TNTNGCNGGG	CTGCTGGNAN
19BF	CGCTCGCTGC	CTGCCTCTCT	GGCTGTCTGC	${\tt TTTTGGAGGG}$	CTGCTNGGAN
19CiF	CGCTCGCTGC	CTGCCTCTCT	GGCTGTCTGC	${\tt TTTTGGAGGG}$	CTGCTNGGAN
24dDF	CGCTCGCTGC	CTGCCTCTCT	GGCTGTCTGC	TTTTGCAGGG	CTGCTGGGAT
2dDF	CGCTCGCTGC	CTGCCTCTCT	GGCTGTCTGC	${\tt NTTGGCAGGG}$	CTGCTGGGAT
8diDF	CCCNCNCNNC	CTNCNTNTCT	GGNTNTCTGC	TANNGCGGGG	NTNCNGNNAT
14aiiAF					
18aiiAF					
17aiiAF					
19aiiAF					
21DiiF	ACCNAGNGGG	NNGGGAANCN	AAAAANANNA	NTCTCTGACC	TAGCCGATCT
	151				200
5cCF	ACTCTATATG	CTGCATAGAA	CCNGGATA	GGATTTTTCA	NNGTTAATNA
7cCF			CCTGGATA		
14aiAF			CCTGGAGA		
17aiAF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
13ciCF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
19CiiF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
19aiAF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
1CCF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
23CiF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
21CiF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
8ciCF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	TAGTAAATGA
2cCF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
3aAF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
18aiAF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA

24cCF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
24aAF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
22CiF	ACTCTATATG	CTGCATAGAA	CCTGGAGA	GGATTTTTCA	AAGTAAATGA
4cCF	ANTCTATATC	CTGCATAAAT	CCGNGGATGA	GGATTTNTCA	GNGNTAATAA
12ciiCF	TTTCTCAACA	TTGCTAGCTT	GTGTACAATA	TANGAAAAGT	AGGAGGTGAA
16ciiCF	TTTCTCAACA	TTGCTAGCTT	GTGTACAATA	TAGGAAAAGT	AGGAGGTGAA
15ciiCF	TTTCTCAACA	TTGCTAGCTT	GTGTACAATA	TAGGAAAAGT	AGGAGGTGAA
23CiiF	TTTCTCAACA	TTGCTAGCTT	GTGTACAATA	TAGGAAAAGT	AGGAGGTGAA
20CiiF	TTTCTCAACA	TTGCTAGCTT	GTGTACAATA	TAGGAAAAGT	AGGAGGTGAA
22CiiF	TTTCTCAACA	TTGCTAGCTT	GTGTACAATA	TAGGAAAAGT	AGGAGGTGAA
13ciiCF	TTTCTCAACA	TTGCTAGCTT	GTGTACAATA	TAGGAAAAGT	AGGAGGTGAA
17ciiCF	TTTCTCAACA	TTGCTAGCTT	GTGTACAATA	TAGGAAAAGT	AGGAGGTGAA
8ciiCF	TTTCTCANCA	TTGCTNGCTT	GTGTNCNATA	TAGGANCANT	AGGACGTGNA
19DF	NTTCTCACCA	TNGCTCGCTT	GTGTACAACA	TAGGAAAANT	ANGANGTGAA
17bBF	TTTNTNANCT	CTGTNANAAT	CCNGGGAGTT	GGTGATATCA	GACTAGTTGG
3bBF	TTNNTNCGCN	CTNNNAGAAN	CNGGGGANTT	GGTNATATCA	GAGTAGTTGG
24bBF	TTNNNANNCT	CTGTGAGAAT	CCNGGGAGTT	GGTGATATCA	NANTAGTTGG
14bBF	TTTNTAANCT	CTGTNANAAT	CCNGGGANTT	GGTNATATCA	GACTAGTNGG
20BF	TTTNTANNCT	CTGTNAGAAT	CNNGGGAGTT	GGTGATATCA	GACTAGTNGG
12diDF	TTTTTAANCT	CTGTNANAAT	CCTGGGAGTT	GGTGATGTCA	GACTAGTTGG
13diDF	TTTTTAANCT	CTGTNANAAT	CCTGGGAGTT	GGTGATGTCA	GACTAGTTGG
18bBF	TTTNTAAGCT	CTGTNANAAT	CCNGGGAGTT	GGTGATNTCA	NACTAGTTGG
4diDF	TTNTTACGCT	CTGTNACAAT	CCNGGGANTT	GGTGATATCA	NACTAGTNGG
7diDF	TTTNNANNCT	CTNTAANAAT	CCNGGGANTT	GGTGATNTCA	AACNAGTNGG
1diDF	TTTTTAANCT	CTGTAANAAT	CCTGGGAGTT	GGTGATATCA	AACTAGTTGG
5diDF	TTTNTAANCT	CTGTNACAAT	CNNGGGAGTT	GGTGATNTCA	GACTAGTNGG
22Di	TTTTTAANCT	CTGTNANAAT	CCNGGGAGTT	GGTGATATCA	NACTAGTTGG
16dDF	TTTTTNNCCT	CTGTNANAAT	CCNGGGANTT	GGTGATATCA	NACTNGTTGG
23DiF	TTTTTAANCT	CTGTAANAAT	CCNGGGAGTT	GGTGATNTCA	NACTAGTTGG
15dDF	TTTTTANCCT	${\tt CTGTNAGAAT}$	CCNGGGAGTT	${\tt GGTNATATCA}$	NACTAGTNGG
19BF	TT				
19CiF	TT				
24dDF	TTTTTAANCT	${\tt CTGTGAGAAT}$	CCTGGGAGTC	GGTGATGTCA	GACTAGTTGG
2dDF	TTTTTAACCT	CTGTGANAAT	CCTGGGANTT	GGTGATGTCA	GACTAGTTGG
8diDF	TTTTTCCNCN	CTNTAATAAT	CTCGNGANTT	NGTNATATNN	NANTNNTNGG
14aiiAF				GGTAA	GTGGCAGAGC
18aiiAF				CTGGTAA	GTGGCAGAGC
17aiiAF				CTGGTAA	GTGGCAGAGC
19aiiAF	• • • • • • • • • •			CTGGTA	ATGGCAGAGC
21DiiF	GNTGTGTNCC	CACCCACAAC	TGGANNANTG	TGTNNNNGGA	GGTTCTGGTA
~ ~~	201				250
5cCF				GTGCTGTCAA	
7cCF				NTGCTGTCNA	
14aiAF				GTGCAGTCAA	
17aiAF				GTGCAGTCAA	
13ciCF				GTGCAGTCAA	
19CiiF				GTGCAGTCAA	
19aiAF				GTGCAGTCAA	
1CCF				GTGCAGTCAA	
23CiF	ATCTCGAAAG	CTGGATTGCA	GAGCAAACGA	GTGCAGTCAA	.TTCAGCCAG

21CiF	ATCTCGAAAG	CTGGATTGCA	GAGCAAACGA	GTGCAGTCAA	.TTCAGCCAG
8ciCF	ATCTCGAATG	CTGGATTGCN	CAGCANACGA	NTGCAGTCNA	CTTCAGCNNG
2cCF	ATCTCGAAAG	CTGGATTGCA	GAGCAAACGA	GTGCAGTCAA	.TTCAGCCAG
3aAF	ATC'ICGAAAG	CTGGATTGCA	GAGCAAACGA	GTGCAGTCAA	TTCAGCCAG
6aAF	ATCTCGAAAG	CTGGATTGCA	GAGCAAACGA	GTGCAGTCAA	.TTCAGCCAG
18aiAF	ATCTCGAAAG	CTGGATTGCA	GAGCAAACGA	GTGCAGTCAA	.TTCAGCCAG
24cCF	ATCTCGAAAG	CTGGATTGCA	GAGCAAACGA	GTGCAGTCAA	.TTCAGCCAG
24aAF	ATCTCGAAAG	CTGGATTGCA	GAGCAAACGA	GTGCAGTCAA	.TTCAGCCAG
22CiF	ATCTCGAAAG	CTGGATTGCA	GAGCAAACGA	GTGCACTCNA	.TTCAGCCAG
4cCF	ATCTCGAAAG	CTGGATAGCA	TAGCANACNA	ATGCTNTCNA	.TTCNNCCAG
12ciiCF	GCATATTTGG	GGTATGATGA	AGTGGAAAAA	TAAGAATATT	ATGGACTGAN
16ciiCF	GCATATTTGG	GGTATGATGA	AGTGGAAAAA	TAAGAATATT	ATGGACTGAA
15ciiCF	GCATATTTGG	GGTATGATGA	AGTGGAAAAA	TAAGAATATT	ATGGACTGAA
23CiiF	GCATATTTGG	GGTATGATGA	AGTGGAAAAA	TAAGAATATT	ATGGACTGAA
20CiiF	GCATATTTGG	GGTATGATGA	AGTGGAAAAA	TAAGAATATT	ATGGACTGAA
22CiiF	GCATATTTGG	GGTATGATGA	AGTGGAAAAA	TAAGAATATT	ATGGACTGAA
13ciiCF	GCATATTTGG	GGTATGATGA	AGTGGAAAAA	TAAGAATATT	ATGGACTGAA
17ciiCF	GCATATTTGG	GGTATGATGA	AGTGGAAAAA	TAAGAATATT	ATGGACTGAA
8ciiCF			CGTGNACAAN		NCGGACTGAC
19DF	CCANNTTTGG	GGTATCATCA	NCTGGNCCCA	CAACANTNTT	ACGNACCGC.
17bBF		GGTCAGCAGC		TTCACCNANN	N.TCCACTCN
3bBF		GGTCNNCNGN		TNCACCNANC	N.TCCACTCN
24bBF		GGTCANCNNC	CNGGGTNNGG	TTCACCGANN	
14bBF		GGTCNCCNNC	NNGGGTANGG	TNCACCNANN	
20BF		GGTCACCNCC	NCGGGTAGGG	NNCACCAAT.	
12diDF		GGTTAGCAGC	CCGGGTAGGG	TTCACCGAAA	
13diDF		GGTNACCAGC	CCGGGTAGGG	TTCACCGAAA	
18bBF		GGTCANCNNC	CCGGGTANGG	TTCACCNANN	
4diDF	-	GGTCANCANC	CNGGGTNGGG	TTCACCGANN	
7diDF		GGTTNGCNNC	CNGGGTNNGG	TNCACCNANN	
1diDF		GGTNACCANC	CCGGGTNGGG	TNCACCNANN	
5diDF		GGTCANCNCC	CNGGGTAGGG	TNCACCTANN	
22Di		GGTTACCAGC	CCGGGTAGGG	TTCACCGAAA	
16dDF		GGTCANCNGC	NNGGGTAGGG	TTCACCNANN	
23DiF			CNGGGTAGGG	TTCACCNANN	
15dDF		NGTCNCCANN		TNCACCNAAN	
19BF	+				•
19CiF					• • • • • • • • • • • • • • • • • • • •
24dDF			CCGGGTAGGG		C TTCACTCC
2dDF			CCGGGTAGGG		
8diDF			NNGGNTCNNG		
14aiiAF			CTGACTCCAG		
18aiiAF			CTGACNCCAG		
17aiiAF			CTGACTCCAG		
19aiiAF			CTGACTCCAG		
21DiiF					
ZIDIIF	NACANTTANA	TNTACANCAC	NNAGCNCGNG	GGCATGACCT	CCCCNCNCGA
	251				200
5cCF		ጠአረረረንእነአን	$C_{\lambda}C_{\lambda}C_{\lambda}C_{\lambda}C_{\lambda}$	3 CMCMCC3 3 C	300
7cCF			GAGACCCAAG GACAACCAAG		
14aiAF	GGGCTTGCAA	AAGGGAGAAA	GAG.AAAAAG	ACTGTGGAAT	.GGAAAGTTT

17aiAF	CCCCTTCCA A	CACCCACAAA	GAG.AAAAAG	, A CTCTCTCC A A T	
13ciCF			GAN.AAAAAG		
19CiiF			GAG.AAAAAG		
			GAG.AAAAAG		
19a1Af					
1CCF			GAG. AAAAAG		
23CiF			GAG.AAAAAG		.GGAAAGTTT
21CiF			GAG.AAAAAG		
8ciCF			NAN.AACCCG		
2cCF			GAG.AAAAAG		
3aAF			GAG.AAAAAG		
6aAF			GAG.AAAAAG		
18aiAF	GGGCTTGCAA	GAGGGAGAAA	GAGAAAAAAG	ACTGTGGAAT	GGGAAAGTTT
24cCF	GGGCTTGCAA	GAGGGAGAAA	GAG.AAAAAG	ACTGTGGAAT	.GGAAAGTTT
24aAF	GGGCTTGCAA	GAGGGAGAAA	GAGAAAAG	ACTGTGGATG	AAGTTCCACC
22CiF	GGGCTTGCAN	GAGGGAGAAA	CACAACAAAG	ACTGTGGAAT	.GGANNGTCC
4cCF	GGGCTTGCTG	TAGGGATAAA	GANACCCAAG	ACTGTGGAAT	GNANTTCCCC
12ciiCF	TGTTTACGTT	CCTCCAAAAA	TNATATGTT.		
16ciiCF	TGTTTACGTT	CCTCCAAAAA	TTATATGTTG	AAATATTAAC	CCCCAGTGTG
15ciiCF	TGTTTACGTT	CCTCCAAAAA	TTATATGTTG	AAATATTAAC	CCCCAGTGTG
23CiiF	TGTTTACGTT	CCTCCAAAAA	TTATATGTGG	AAATATTAAC	CCCCAGTGTG
20CiiF	TGTTTACGTT	CCTCCAAAAA	TTATATGTTG	AAATATTAAC	CCCCAGTGTG
22CiiF	TGTTTACGTT	CCTCCAAAAA	TTATATGTTG	AAATATTAAC	CCCCAGTGTG
13ciiCF	TGTTTACGTT	CCTCCAAAAA	TTATATGTTG	AAATATTAAC	CCCCAGTGTG
17ciiCF	TGTTTACGTT	CCTCCAAAAA	TTATATGTTG	AAATATTAAC	CCCCAGTGTG
8ciiCF	NNNTTACNTA	CCCCCAGCNC	ATCANATGTG		
19DF					
17bBF			TNCANTCTTT		NACAGAATAN
3bBF	CANATATN		TNCNNNCTT.		
24bBF	-	A.NGCNA	TCCNATCTTT		
14bBF			TCCANTCTTT		
20BF					
12diDF			TTCAATCTTT		
13diDF			TTCAATCTTT		
18bBF	CATATATN		TNCANNCTTT		
4diDF	CATATATN		TCCANTCTTN		
7diDF			TCCANNCTTT		NACAAANNTA
1diDF			TNCANTCTTT		
5diDF			TNCANTCTTT		
22Di			TTCAATCTTT		
16dDF			TTCANTCTTT		
23DiF			TNCAATCTTT		
15dDF			TNCACNCTTT		
19BF					
19CiF					
24dDF			TTCAATCTTT		
24dDF 2dDF			TTCAATCTTT		
2dDF 8diDF					
			CNCTCNCTTT		
14aiiAF			• • • • • • • • • • • • • • • • • • • •		
18aiiAF			• • • • • • • • • • • • • • • • • • • •		
17aiiAF			• • • • • • • • • • • • • • • • • • • •		
19aiiAF	AGAGA	• • • • • • • • • • •	• • • • • • • • • • • • • • • • • • • •	• • • • • • • • • • •	• • • • • • • • • •

21DiiF	TNNCCAGCAG	ANTTGCAANT	CCACTCTCTA	CCCCGNGTTG	TNNCCTCTCC
	301				350
5cCF	NNNNNNNCCC	CAAGCCCTTT	CCCCAAGGGG	GTTAGCCCAT	TCCTCTGTTC
7cCF	CCNNNANCCC	CAAGCCTTTC	CCCAAGG	GGTTAGCCAT	TCCTCTGTTC
14aiAF	CCCAACCCAA	GCCTTTCCCA	AGGGG.TAGC	CATTCTCTGT	TCTACAGTTT
17aiAF	CCCAACCCAA	GCCTTTCCCA	AGGGG.TAGC	CATTCTCTGT	TCTACAGTTT
13ciCF	CCCAACCCAA	GCCTTTCCCA	AGGGG.TAGC	CATTCTCTGT	TCTACAGTTT
19CiiF	CCCAACCCAA	GCCTTTCCCA	AGGGG.TAGC	CATTCTCTGT	TCTACAGTTT
19aiAF	CCCAACCCAA	GCCTTTCCCA	AGGGG.TAGC	CATTCTCTGT	TCTACAGTTT
1CCF	CCCAACCCAA	GCCTTTCCCA	AGGGG.TAGC	CATTCTCTGT	TCTACAGTTT
23CiF	CCCAACCCAA	GCCTTTCCCA	AGGGG.TAGC	CATTCTCTGT	TCTACAGTTT
21CiF	CCCAACCCAA	GCCTTTCCCA	AGGGG.TAGC	CATTCTCTGT	TCTACAGTTT
8ciCF					
2cCF	CCCAACCCAA	GCCTTTCCCA		CATTCTCTGT	TCTACAGTTT
3aAF		CCTTTCCCAA		CATTCTCTGT	TCTACAGTTT
6aAF		CAGCCTTCCA		CATTCTCTGT	
18aiAF		GCCTTTCCCA		CATTCTCTGT	TCTACAGTTT
24cCF		GCCTTTCCCA		CATTCTCTGT	.CTACAGTTT
24aAF		GGGTTACCAT			
22CiF		NCCTTTCTCA	NGGGGTANCC	NNTCCCNGTT	TCTNNCTCTT
4cCF			AAGGGGTTNC		TCTACAGTTT
12ciiCF					
16ciiCF	ACAGAAGTAG	TAGAAGGAC.			
15ciiCF	ACAGAAGTAG	TAGGAAGGAC			
23CiiF	ACAGAAGTAT	GAAG			
20CiiF	ACAGAAGTAG	TAGGAA			
22CiiF	ACAGAAGTAT	AGAAG			
13ciiCF	ACAGAAGTAT	GAAG			
17ciiCF		AGAAG			
8ciiCF					
19DF					
17bBF	AAGAGA				
3bBF					
24bBF	GTAGAGGNNN	CCCCCGGGAC	NCTGCNC		
14bBF					
20BF					
12diDF	GTAAGAAGTG	AGCTGTTCAG	AGGCAGGAGG	GTCTATTCTT	TGCCGGGGGG
13diDF				GTCTATTCTT	
18bBF					
4diDF				GTCTANTCTT	
7diDF	TTAAGAANTG	ACCTGTNCAN	AGGNAGGANG	GTCNAC	
1diDF				GTCTNTTCTT	
5diDF				GTCTNTTCTT	
22Di				GTCTATTCTT	
16dDF				GT	
23DiF				GTCTNNCCTT	
15dDF				GTC	
19BF					
19CiF					
24dDF				GTCTATTCTT	

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2dDF		AACTGTTCAT			
8diDF	TGTNGTATTA	CGTTTCACTN	TACCANTTTT	ACCNCCGATT	CCACCACTGG
14aiiAF		• • • • • • • • • •	• • • • • • • • •	• • • • • • • • • •	• • • • • • • • • • • • • • • • • • • •
18aiiAF		• • • • • • • • • •	• . • • • • • •	• • • • • • •	• • • • • • • • • •
17aiiAF	••••	• • • • • • • • • •	• • • • • • • • •	• • • • • • • • • •	• • • • • • • • • • •
19aiiAF					
21DiiF	NCCCGGNNGG	GCAAATGCTC	TCNGAAGTGC	TACTATNTNT	TCACCGAANN
•					
	351				400
5cCF	CTACAGTTTT	AAGGGCTTGG	CATGTTGCTT	TTTTCCCGGA	ATTTGGAAAA
7cCF	CTACAGTTTT	AAGGCTTG	CATGTTGC.T	TTTTCCTGGA	ATTGGGAAAA
14aiAF	AGGCT.GCTG	TCTTC	• • • • • • • • •		
17aiAF	AGGCT.TGTT				
13ciCF	AGGGC.TTGC	ATGTGCTTTT	T.CTGGAGT.	GGAAAANTAC	ATAAGTTATA
19CiiF	AGGGC.TTGC	ATGTGCTTTT	T.CTGGAGT.	GGAAAAATAC	NTAAGTTATA
19aiAF	AGGGC.TTGC	TTTCG			
1CCF	AGGGC.TTGC	ATGTGCTTTT	T.CTGGAGT.	GGAAAAATAC	ATAAGTTATA
23CiF	AGGGC.TTGC	ATGTGCTTTT	T.CTGGAGTG	GGAAAAATAC	ATAAGTTATA
21CiF	AGGGC.TTGC	ATGTGCTTTT	C.TGAGTGGG	GAAAAAATAC	NTAANTATAN
8ciCF					
2cCF	AGGGCTTTGC	ATGTGCTTTT	T.CTGGAATT	GGAAAAATAC	ATAATTTATA
3aAF	AGGCTT				
6aAF					
18aiAF	AAGGCTTGCT				
24cCF	AGGCTTGCAT	GTGCTTTTCT	G.ATTGGAAA	AATACATAGT	TATAAGGAAT
24aAF					
22CiF	CCAGGGNCTT	GCNA			
4cCF	AGGGCTTGCA	TGTTGCTTTT	TCCTGGAGTT	GGAAAAATAC	ATAAGTTATA
12ciiCF					
16ciiCF					
15ciiCF					
23CiiF					
20CiiF					
22CiiF					
13ciiCF					
17ciiCF					
8ciiCF					
19DF			· · · · · · · · · · · · · · · · · · ·		
17bBF					
3bBF					
24bBF					
14bBF					
20BF					
12diDF	GGGACCACAA	TCCCCCCATG	CGATCTGTTT	GAGGACTGGG	ATGCCGAGAA
13diDF	GGGACCACAA	TCCCCCCATG	CGATCTGTTT	GAGGACTGGG	ATGCCGAAAA
18bBF					
4diDF					
7diDF					
1diDF					
5diDF					
22Di	GGGANCACAA	NCCCCCCATG	CNATCTCTTT	GAGGACTGGG	GATGCCNAAA
2221	COLUNCACAA	-,CCCCCA1G	C14211 C 1 G 1 1 1	DEDUT DROUGE	CILLOCCIVAAA

ession	Name: Barbar	ra			
16dDF 23DiF	GGGACCACAN	NCCCCCCATG	CNATCTGTNN	GAGGACTGGG	ATNCCNANAA
15dDF					
19BF			• • • • • • • • •		
19CiF					
24dDF	GGGGANCACA	ATTCCCCCCA	TTCCAACTGT	TTTGAGGACN	GGGAATCCCC
2dDF	GGGGGGAAC	AAAAAATNNC	CCCCCATGCC	AANCTGTTTT	GAANAACTGG
8diDF	GAA				
4aiiAF					
.8aiiAF					
.7aiiAF					
.9aiiAF 21Di					

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Appendix II

Manuscript submitted

ALL-TRANS-RETINOIC ACID MEDIATES G1 ARREST BUT NOT APOPTOSIS OF NORMAL HUMAN MAMMARY EPITHELIAL CELLS 1

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ABSTRACT

Retinoids mediate the normal growth of a variety of epithelial cells and may play an important role in the chemoprevention of certain malignancies. Loss of retinoic acid receptor-beta (RARB) function may be an important event in mammary carcinogenesis since the majority of breast cancers, in contrast with normal mammary epithelial cells, fail to express this receptor. We previously reported that all-trans-retinoic acid (RA) mediates G₁ arrest as well as apoptosis in certain RARB-transduced breast cancer cell lines. We now report the effect of RA on normal human mammary epithelial cells (HMECs), which express functionally active retinoid receptors. We observe that RA induces growth suppression and G₁ arrest of these HMECs but find no evidence that RA mediates apoptosis in these normal cell strains. This RA-induced G₁ arrest is temporally associated with decreased levels of hyperphosphorylated retinoblastoma protein (pRB) without any significant changes in c-myc, p53, p21, or p27 expression. Our studies suggest that growth inhibition, rather than apoptosis, may be the mechanism by which RA and RA receptors act to prevent the malignant transformation of normal mammary epithelial cells. The molecular targets(s) of the activated RA receptors that mediate this G₁ arrest in HMECs appear to be associated with an Rb-dependent pathway.

Running Title: RA-mediated growth inhibition of HMECs

Key words: retinoids, carcinogenesis, cell cycle, mammary epithelial cells, apoptosis,

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Footnotes:

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³ Abbreviations: RAR, retinoic acid receptor; RXR, retinoid X receptor; RA, retinoic

acid; HMEC, human mammary epithelial cells; RARB, retinoic acid receptor-beta;

RARE, retinoic acid response element; pRB, retinoblastoma protein, cdk, cyclin

dependent kinase, TdT, terminal deoxynucleotidyl transferase; PBS, phosphate

buffered saline; ECL, enhanced chemiluminescent detection; DAPI, 4',6-diamido-2-

phenylindole; FACS, fluorescence activated cell sorting; RT, room temperature;

DMSO, dimethyl sulfoxide; T.E., Tris-ethylenediaminetetraacetic acid; CAT,

chloramphenicol acetyl transferase.

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INTRODUCTION

Vitamin A (retinol) and its derivatives (retinoids) support the normal growth and differentiation of epithelial cells (1, 2). Retinoids are also effective in the prevention of many human malignancies (3-13) and currently there are ongoing clinical trials to test the ability of a synthetic retinoid, N-(4-hydroxyphenyl)retinamide [Fenretinide], to prevent contralateral breast cancer (14, 15). In order to use retinoids in the most clinically beneficial manner, it is important to understand the molecular basis of activity. The actions of retinoids are ultimately thought to be mediated through specific nuclear retinoic acid receptors (RARs)³ and retinoid X receptors (RXRs) belonging to the steroid/thyroid superfamily of transcription factors (16-20). RAR α is expressed ubiquitously in adult tissue and RAR γ is primarily expressed in skin. RAR β is unique because it is primarily expressed in epithelial cells and exhibits induced expression in response to retinoic acid mediated by an enhancer element found in its promoter, the retinoic acid response element (RARE) (21, 22).

Since retinoic acid receptors appear to play a key role in mediating retinoid action, it is likely that these receptors also regulate the anticarcinogenic actions of retinoids. This paradigm is consistent with the finding that while RARβ is primarily expressed in many normal epithelial derived-cells or tissues, it is not expressed in a majority of epithelial tumors or in tumor cell lines (23-28). It has been observed that normal human cultured mammary epithelial cells (HMECs) express RARβ mRNA; in comparison, most breast cancer cells fail to express this gene (23, 29, 30). Furthermore, RARβ mRNA expression is upregulated in senescent HMECs (23). This suggests that loss of retinoic acid receptor function may be an important event in solid tumor carcinogenesis.

We previously developed an in vitro system to investigate how retinoic acid receptors may

act to prevent the malignant transformation of human mammary epithelial cells (24). The human breast cancer cell lines MCF-7 and MDA-MB-231 do not normally express RARβ and are resistant to RA-mediated growth inhibition at 1.0 μM all-*trans*-retinoic acid (RA). By retroviral mediated gene transfer, we constitutively expressed the human RARβ gene in both of these breast cancer cell lines and demonstrated that RARβ-transduced MCF-7 and MDA-MB-231 cells readily undergo growth inhibition when treated with RA (24). In addition, the RARβ-transduced MCF-7 cells undergo apoptosis after 4 days of treatment with RA (24). This suggests that RA and RARβ are important mediators of proliferation in these breast cancer cell lines and provides insight into how RA might act to prevent cancer in normal epithelial cells. If the mechanism of action of retinoids and retinoic acid receptors in normal human mammary epithelial cells is to regulate proliferation and apoptosis, then loss of retinoid receptor function might disrupt an important mechanism of maintaining normal tissue homeostasis and thereby contribute to malignant transformation.

In this report we investigate the growth regulation of normal mammary epithelial cells (HMECs) by retinoic acid. HMECs are growth factor-dependent cells derived from reduction mammoplasty specimens and exhibit a limited *in vitro* lifespan. We observe that RA-treated HMECs in culture undergo growth inhibition predominantly associated with G_1 arrest but they do not undergo apoptosis. While p53, p21, and c-myc levels remain constant in HMECs which have undergone this RA-mediated G_1 arrest, there is a marked reduction in the levels of hyperphosphorylated pRB. This is consistent with previous observations in RA-treated T-47D breast cancer cells (31). Our results suggest that retinoids and retinoic acid receptors may be important mediators of proliferation in HMECs but do not play an important role in mediating apoptosis in these cells.

RESULTS

NORMAL HUMAN MAMMARY EPITHELIAL CELLS (HMECS) EXPRESS RA-INDUCIBLE RARβ MRNA

We determined the levels of retinoic acid receptor- α (RAR α) and retinoic acid receptor- β (RAR β) mRNA in normal human mammary epithelial cell strains AG11132 and AG11134 with and without treatment with 1 μ M RA for 48 hours. The expected 3.6 and 2.8 kb RAR α messages were observed in both RA-treated and untreated HMECs. AG11132 RAR α mRNA levels were slightly increased by treatment with RA. In contrast, RAR α mRNA levels increased three fold in AG11134 after RA-treatment (Figure 1). Unlike most breast cancer cell lines (23, 24), HMEC strains AG11132 and AG11134 express RAR β mRNA, albeit at low levels relative to RAR α . RAR β mRNA levels increased 2- and 4- fold respectively for AG11132 and AG11134 after treatment with 1 μ M RA (Figure 1). These HMEC strains also expressed RXR β (at levels comparable to RAR α) and low levels of RAR γ mRNA (data not shown).

RA MEDIATED TRANS-ACTIVATION IN CULTURED HMECS

Since HMEC strains exhibit RA-inducible RARβ expression (Figure 1), we wished to determine whether the retinoid receptors expressed in these HMEC strains are functional and able to *trans*-activate a retinoic acid response element in response to RA. We performed transient expression assays utilizing the pRRE4-tkCAT reporter plasmid which contains the natural RA-response element (RARE) from the promotor region of the human RARβ gene (22). Retinoid receptor functional activity was demonstrated in HMEC strains AG11132 and AG11134 by induction of RA-mediated *trans*-activation with increasing

concentrations of RA (Figure 2).

GROWTH INHIBITION AND CELL CYCLE CHANGES OBSERVED IN NORMAL HUMAN MAMMARY EPITHELIAL CELL STRAINS IN RESPONSE TO RETINOIC ACID

HMEC strains AG11132 and AG11134 were cultured in 0, 0.1, 1.0, or 10 μM RA. We observed RA-mediated growth inhibition of HMECs that was both dose and time dependent. Increased growth inhibition was demonstrated with increasing concentrations of RA and increasing time of exposure in both AG11132 and AG11134 (Figure 3). Growth inhibition after treatment with 1.0 μM RA was observed starting at 24 hours. Ten micromolar RA appeared cytotoxic to HMECs. These data demonstrate that RA inhibits the proliferation of normal mammary epithelial cells in culture.

In order to determine more precisely the mechanism by which retinoic acid inhibits the proliferation of HMECs, FACS analysis was performed on AG11132 and AG11134 cells treated with 0, 0.1, and 1.0 μ M RA for 48 hours. HMECs treated with 0.1 or 1.0 μ M RA for 48 hours exhibited an increase in the percentage of cells in G_1 and a decrease in the percentage of cells in S-phase relative to untreated controls (Table 1). After 48 hour of treatment with 1.0 μ M RA, AG11132 and AG11134 cells, respectively, exhibit a 17% and 13% increase in G_1 and a 58% and 68% decrease in S-phase. These results demonstrate that RA may inhibit proliferation of HMECs primarily by inducing G_1 arrest.

The effects of 1.0 μ M RA on HMEC cell cycle phase distribution were examined over a defined time course to investigate the kinetics of RA-mediated G_1 arrest. Figures 4 A and B shows that both AG11132 and AG11134 cell strains undergo growth inhibition starting 24 hours after treatment with RA. After 48 hours both cell strains exhibited a greater than

50% reduction in the percentage of cells in S-phase and a corresponding increase in the number of cells in G_1 .

ALL-TRANS-RETINOIC ACID DOES NOT INDUCE APOPTOSIS IN RA-TREATED HMECS

We previously reported that MCF-7 cells transduced with RARβ underwent apoptosis when treated with RA (24). In contrast, MDA-MB-231 cells transduced with RARβ underwent G₁ arrest but did not undergo apoptosis when treated with RA (24). In order to determine whether RA mediates apoptosis in normal human mammary epithelial cells, we treated HMEC strains AG11132 and AG11134 with 1 μM all-trans-retinoic acid and investigated whether apoptosis was observed by morphologic criteria and by biochemical parameters.

Morphologic changes characteristic of apoptosis include nuclear condensation, loss of adherence, and cell shrinkage (33, 34). HMECs treated with 1 μ M RA did not exhibit these morphologic changes by either light or electron microscopy (data not shown). HMECs treated with 1 μ M RA for 5 days did not demonstrate nuclear condensation by fluorescent staining (Figure 5).

Internucleosomal DNA fragmentation is characteristic of apoptosis and distinguishes it from other modes of cell death such as necrosis (35, 36). MCF7-RARβ transduced cells undergoing RA-mediated apoptosis demonstrate increased DNA fragmentation starting 4 days after treatment with RA (24). In contrast, we did not observe increased fragmented cytoplasmic DNA by the diphenylamine assay 2, 4, or 6 days after treatment of HMECs with 1 μM RA (Figure 6). Moreover, ethidium bromide-stained DNA extracted from RA-treated HMECs did not demonstrate DNA laddering following two, four, or six days

treatment with 1 μ M RA (data not shown). Finally, apoptotic strand breaks were not detected by the terminal deoxynucleotidyl transferase (TdT) method in HMECs after 5 days treatment with 1 μ M RA (data not shown). These together data suggest that while RA induces growth arrest of two independent normal mammary epithelial strains in culture, RA does not induce apoptosis in either of these cell strains.

RA-TREATED HMECS DEMONSTRATE A REDUCTION IN THE LEVELS OF HYPERPHOSPHORYLATED pRB

We performed Northern and Western analysis to determine whether the RA-mediated growth arrest observed in RA-treated HMECs was associated with a change in expression of specific genes important in growth control and proliferation of epithelial cells, including c-myc, p53, p21, p27, and pRB (37-56).

The c-myc proto-oncogene is a transcription factor that participates in the opposing cellular fates of proliferation and apoptosis. We investigated the expression of c-myc mRNA expression in normal mammary epithelial cells strains AG11132 and AG11134 which do undergo G_1 arrest and observed that neither HMEC cell strain demonstrates a change in c-myc mRNA expression after 48 of treatment with RA (Figure 7).

The tumor suppressor protein pRB appears to play a critical role in mediating cell cycle progression (48-56). We observe that RA-mediated growth inhibition in HMEC strains AG11132 and AG11134 is temporally associated with a significant decrease in the level of hyperphosphorylated pRB (Figure 8 and 9). We observe that both HMEC strains undergo G_1 arrest starting at 24 hours following retinoic acid treatment (Figure 4). Concomitant with this observed growth arrest, the levels of hyperphosphorylated pRB begin to decline

after 24 hours of treatment with RA (Figure 9). After 48 hours the levels of hyperphosphorylated pRB are reduced by 50% and 63% for AG11132 and AG11134, respectively (Figure 9). These observations suggest that inhibition of pRB hyperphosphorylation is temporally associated with the RA-induced G₁ arrest in HMECs.

To determine whether this RA-induced hypophosphorylation of pRB was associated with any of the known inhibitors of cyclin dependent kinase activity, we compared the relative expression of p53, p21/WAF1/CIP1, and p27 in untreated and RA-treated HMEC strains. We observe that AG11132 and AG11134 HMEC cells strains treated with 1.0 μM RA for 24 hours (data not shown) or 48 hours (Figure 8) do not exhibit increased p53 or p21 protein levels associated with this RA-induced G₁/S arrest. Both AG11132 and AG11134 HMEC strains express low levels of p27 mRNA (Figure 7), but neither cell strain express detectable levels of p27 protein before or after RA-treatment for 24 or 48 hours (data not shown).

DISCUSSION

The association between vitamin A deficiency and the development of cancer suggests that retinoid-dependent signaling pathways have a role in the suppression of carcinogenesis (2). Therefore, for the effective clinical use of retinoids, it is important to define how retinoid action is mediated in both malignant and normal mammary epithelial cells. The retinoic acid receptor-beta (RARβ) is primarily expressed in epithelial cells and appears to be a critical mediator of retinoid action (23, 24). While RARβ is expressed in normal epithelial tissues, its expression is selectively lost in many epithelial tumors suggesting that the loss of RARβ expression may be a key event in solid tumor carcinogenesis (23-28). It has recently been reported that RARβ expression is selectively lost in patients with oral dysplasia and that a

clinical response to 13-cis-retinoic acid was associated with restoration of RARβ expression (57).

In previous studies, we investigated how RA and RAR β might act in breast cancer cells by utilizing retroviral-mediated gene transfer to introduce RAR β into breast cancer cell lines which do not normally express RAR β (24). We observed that while MCF-7 and MDA-MB-231 breast cancer cell lines are resistant to RA-mediated growth inhibition, RA induces G_1 arrest in these two breast cancer cell lines when they are transduced with RAR β . Moreover, RA induces apoptosis in RAR β -transduced MCF-7 cells but not in RAR β -transduced MDA-MB-231 (24). A recent report by Liu *et al.* (58) supports these findings by demonstrating that RAR β mediates the growth inhibitory effects of retinoic acid by promoting apoptosis in human breast cancer cell lines. Furthermore, there is evidence that retinoids and RAR β may mediate apoptosis in other cell types since RA-mediated truncation defects of the embryonic limb appear to be the result of RAR β -mediated apoptosis (59). Based on such observations we hypothesized that retinoids and the retinoic acid receptors could participate in G_1 arrest and apoptosis in normal human mammary epithelial cells.

The normal mammary epithelial cell strains, AG11132 and AG11134, described in this study are derived from reduction mammoplasty specimens and consist of a heterogeneous population of growth factor dependent epithelial-derived mammary cells. Unlike immortal cell lines which retain characteristics of normal cells such as MCF-10A (60), HMEC strains AG11132 and AG11134 are not immortal. In addition, while most breast cancer cell lines do not express RARβ, these HMEC strains express RA-inducible RARβ mRNA (Figure 1). Moreover, the retinoid receptors in these HMEC strains demonstrate normal functional activity as evidenced by RA-mediated *trans*-activation of a RARE driven CAT reporter construct (Figure 2). We wished to determine whether RA might induce growth arrest and/or apoptosis of these HMECs. We observe that RA inhibits proliferation of HMECs

and this is associated primarily with G_1 arrest (Table 1, Figure 4) rather than apoptosis (Figures 5 and 6). In contrast to previous observations in RAR β -transduced breast cancer cell lines (24, 58), we do not observe that RA mediates apoptosis in these two HMEC strains. The absence of apoptosis is confirmed by the lack of characteristic morphologic changes by both light and electron microscopy, and the absence of fragmented DNA (Figures 5 and 6). These data indicate that RA and retinoid receptors mediate G_1 arrest but not apoptosis in normal human mammary epithelial cells.

The observations described in this report may provide a potential model for how RA may act to prevent malignant transformation of normal mammary epithelial cells. If a critical function of RA and retinoic acid receptors is to regulate proliferation, then loss of retinoid receptor function might result in dysregulated growth of human mammary epithelial cells. Further mutations of tumor suppressor genes or oncogenes in this expanded cell population could result in the progression to overt malignancy. This model predicts that loss of retinoid receptor expression and/or function is likely to be an early event in the multistage development of breast cancer in normal mammary epithelial cells.

Growth factors are thought to regulate transit from G_1 to S-phase in almost all cell types but the specific growth factor requirements of mammary epithelial cells are only partially understood. In this report we provide evidence that the differentiating agent RA may play an important role in regulating the G_1 to S-phase cell cycle transition in normal human mammary epithelial cells. Our data suggest that G_1 arrest in HMECs is temporally associated with a decrease in the levels of hyperphosphorylated pRB (Figure 8) in the absence of change in the expression of p53, p21, or p27 protein (Figures 7 and 8) or the expression of c-myc mRNA (Figure 7).

The c-myc proto-oncogene is a transcription factor that participates in the opposing cellular

fates of proliferation and apoptosis. We previously investigated the expression of c-myc mRNA expression in RA-treated RARβ transduced breast cancer cell lines and vector controls and observed that the RA-mediated growth arrest of MDA231-RARβ transduced cells is associated with c-myc down regulation relative to controls (after 48 hours treatment) whereas, the RA-induced apoptosis of MCF7-RARβ transduced cells is not associated with c-myc down regulation (24). From these observations we hypothesized that the lack of c-myc down regulation noted in the RA-treated MCF7-RARβ transduced cells might be related to their ability to undergo RA-mediated apoptosis. We likewise investigated the expression of c-myc mRNA expression in normal mammary epithelial cells strains AG11132 and AG11134 which do undergo G₁ arrest but not apoptosis. Unlike MDA-MB-231-RARβ transduced cells, we observe that neither HMEC cell strain demonstrates a decrease in c-myc mRNA expression after 48 of treatment with RA (Figure 7).

The tumor suppressor protein pRB appears to play a critical role in mediating cell cycle progression. The molecular basis cell cycle progression through the late G_1 restriction point is not fully understood but it appears to correlate with the hyperphosphorylation of pRB. pRB is underphosphorylated throughout G_1 phase, phosphorylated just prior to cells entering S-phase, and remains phosphorylated until late mitosis (48-55). Mutations in Rb are implicated in cell cycle dysregulation and appear to be important in the genesis of a wide variety of cancers (56). We observe that RA-mediated growth inhibition in HMEC strains AG11132 and AG11134 is temporally associated with a significant decrease in the level of hyperphosphorylated pRB (Figure 8 and 9). We observe that both HMEC strains undergo G_1 arrest starting at 24 hours following retinoic acid treatment (Figure 4). Concomitant with this observed growth arrest, the levels of hyperphosphorylated pRB begin to decline after 24 hours of treatment with RA (Figure 9) indicating that inhibition of pRB phosphorylation is temporally associated with RA-induced G_1 arrest in HMECs.

Radiation and other DNA-damaging agents have been found to block pRB hyperphosphorylation. The cell cycle checkpoint gene p53 appears to play an important role in mediating G_1 arrest induced by these agents (37,38). Current models suggest that when cells are exposed to DNA damaging agents such as radiation or chemotherapy, p53 expression is induced. Elevated p53 levels in turn lead to induction of the cyclin inhibitor p21/WAF1/CIP1 resulting in G_1 /S arrest associated with pRB hypophosphorylation (39-44). The mechanism(s) by which differentiating agents such as RA might mediate G_1 /S arrest may be different than those observed for DNA-damaging agents. We did not observe any increases in either p53 or p21 protein expression when AG11132 and AG11134 HMEC cell strains were treated with RA (Figure 8). Thus the G_1 /S growth arrest noted in RA-treated HMECs may occur through a p53 and p21-independent pathway.

The potential link between retinoids and pRB may provide a clue as to how loss of retinoid receptor function in normal mammary epithelial cells might promote breast cancer carcinogenesis. pRB is felt to regulate cell cycle proliferation by association/dissociation with transcription factors, such as E2F (61). Recent data suggests that pRB may regulate more aspects of the cell cycle than previously suspected. Data presented by Cavanaugh *et al.* (62), suggest that pRB might regulate ribosomal RNA transcription mediated by Pol I. This model, that pRB might be a more general regulator of transcription needed for cell proliferation is supported by a recent report by White *et al.* (63), demonstrating that pRB represses a majority of genes transcribed by Pol III in cultured primary mouse fibroblasts. It is currently not known whether pRB hyperphosphorylation in late G₁ causes an increase in Pol-III-mediated transcription.

The question remains whether the observed decrease in hyperphosphorylated pRB is mediated though inhibition of cyclin/cdk complexes or is regulated through other

mechanisms. We are currently investigating the expression of specific cyclins and their respective cyclin dependent kinases, such as cyclin D1/cdk4 and cyclin E/cdk2, felt to be important in phosphorylation of pRB. In a previous report by Wilcken *et al.* (31), RA-treatment did not have an effect on the protein levels of cyclins D1 or E and cdk2 or cdk4 in the breast cancer cell line T47-D. Furthermore, while decreases were observed in cdk2 and cdk4 kinase activity in RA-treated T47-D cells, these changes were observed only after a decrease in the level of pRB phosphorylation occurred (31). These observations, as well as those presented here, suggest that growth regulation by the differentiating agent, RA may be manifest though a pRB-dependent pathway but the precise mechanism remains to be elucidated:

MATERIALS AND METHODS

MATERIALS

All-trans-retinoic acid (Sigma) 1 mM stock solution was prepared in 100% ethanol and stored in opaque tubes at -70°C. Control cultures received equivalent volumes of ethanol. Retinoic acid stocks were used under reduced light.

CELL LINES AND MEDIA

Normal human mammary epithelial cell (HMEC) strains AG11132 (M. Stampfer #172R/AA7) and AG11134 (M. Stampfer #48R/AC170) were purchased from the National Institute of Aging, Aging Cell Culture Repository (Coriell Institute) (32). AG11132 and AG11134 strains are cultivated from normal tissue obtained at reduction mammoplasty. These normal cell strains have a limited life span in culture and fail to divide after approximately 20 passages. AG11132 was at passage 8 and AG11134 was at passage 6 at the time of receipt. HMECs are grown in Mammary Epithelial Cell Basal Medium (Clonetics) supplemented with bovine pituitary extract (Clonetics #CC4009) 4 μl/ml, insulin (UBI) 5 μg/ml, epidermal growth factor (UBI) 10 ng/ml, hydrocortisone (Sigma) 0.5 μg/ml, isoproterenol (Sigma) 10 μM, HEPES buffer (Sigma) 10 mM [standard medium]. Cells were cultured at 37° C in a humidified incubator with 5% CO₂/95% air. We did not process our growth media to remove endogenous retinoids. Mycoplasma testing was performed as previously reported by Russell (64).

NORTHERN BLOTTING

RNA was extracted with guanidine isothiocyanate and subjected to Northern blotting in formaldehyde denaturing gels as previously described (23). Ten micrograms of RNA were loaded per lane. Molecular probes utilized in the Northern analysis are as follows: The

hRARα probe is a 1.3 kb, SmaI fragment (17). The hRARβ probe is a 1.4 kb SacI, BamHI fragment (17). The human c-myc probe is a 1.8-kb EcoRI fragment (24). The p27 probe is a 900 bp fragment (gift of Jim Roberts). The 36B4 probe (700bp PstI fragment) (65) was used as a loading and transfer control probe.

CAT REPORTER ASSAY

HMECs were plated in T-75 tissue culture flasks (Corning) in standard media 24 hours prior to transfection and were approximately 50% confluent at the time of transfection. Cells were transfected by CellFECTINtm (Gibco/BRL Life Technologies) as per the manufacturer's recommendations for transient transfection of adherent cells. Transient transfections were performed utilizing the pRRE4-tkCAT reporter plasmid which contains four copies of the natural RA-response element (RARE) present in the promotor region of the human RARB gene (22). Transfection control was provided by the pCMV-GH plasmid (34). Transfection conditions were as follows: 10 μl CellFECTINtm in 1.0 ml standard medium was added to 1.0 ml of standard medium containing 10 µg of pRRE4-tkCAT reporter plasmid (24) and 10 µg of pCMV-GH (32), incubated for 10 mins at room temperature, and then added per T-75 flask. Twenty-four hours after transfection, the cells were washed with PBS and re-fed with standard medium containing 0, 0.1, 1.0, or 10 μM RA. Control cultures received an equivalent volume of ethanol (0.1%). After 24 hours, culture media was collected for determination of growth hormone concentration using a radioimmunoassay kit (Nichols Institute). Preparation of cell lysates and CAT assays were performed according to published methods (66). Twenty microliters of cellular extract was added to 80 microliters of CAT reaction mixture [final concentration: 0.1 M Tris pH 7.8, 2.0 nM n-butyrl Coenzyme A (Sigma), 0.15 µCi [14C]-labeled chloramphenicol (Amersham)] and incubated for 3 hours at 37°C. The reaction mixture was extracted with 200 µl xylenes, vortexed 30 seconds, and centrifuged at 4,000 rpm for 5 min. One hundred seventy microliters of the upper phase was transferred to a new Eppendorf tube

and extracted with 85 µl T.E. [10 mM Tris (pH 8.0), 1 mM ethylenediaminetetraacetic acid]. One hundred forty microliters of the upper phase was transferred to a scintillation vial and counted. Protein concentration of cell lysates were determined by a Pierce bicinchoninic acid commercial kit. CAT counts were normalized for transfection efficiency as determined by the growth hormone reporter internal control and total protein. Controls were performed to insure that the pCMV-GH plasmid did not demonstrate increased growth hormone activity in response to retinoic acid.

CELL GROWTH CURVES

HMECs were plated in duplicate at $1x10^4$ per well in 24 well plates (Corning) and grown in standard medium with 0, 0.1, or 1.0 μ M RA. Untreated controls received an equivalent volume of ethanol (0.1%). Cells were trypsinized at 12 to 24 hour time intervals and counted in triplicate. Cultures did not exceed ~70% confluency.

DNA STAINING OF CELL NUCLEI WITH PROPIDIUM IODIDE AND FACS SCAN ANALYSIS 5x10⁵ cells were plated in T75 flasks (Corning) and grown in standard medium with 0, 0.1, 1.0, or 10 μM RA. Untreated controls received an equivalent volume of ethanol (0.1%). Cells were harvested at 2 days and did not exceed ~70% confluency. For the RA time course: 5x10⁵ cells were plated in T75 flasks on day -1 and allowed to adhere. On day 0 the medium was removed and replaced by 20 ml of fresh medium. RA was added to the culture medium for a final concentration of 1 μM on days 0, 1, 2, and 3 for the preparation of day 4, 3, 2, and 1 time points respectively. Cells were harvested on day 4 and did not exceed ~70% confluency. Preparation of cells for FACS analysis has been previously described (24). Ten thousand events were collected in list mode fashion, stored, and analyzed on Multicycle AV software (Phoenix Flow Systems).

NUCLEAR STAINING OF RA-TREATED HMECS

1x10⁵ cells were plated in T75 flasks (Corning) and grown in standard medium with or without 1.0 μM RA. Untreated controls received an equivalent volume of ethanol (0.1%). Cells were harvested at 5 days and did not exceed ~70% confluency, were trypsinized and washed once in cold PBS (pH 7.4), and were fixed in 1% formaldehyde in PBS for 15 mins on ice and washed once with cold PBS. Cells were resuspended in 70% ice cold ethanol and immediately transferred to -20° C. Cytospin preparations utilized 1x10⁴ prepared cells, spun at 400 rpm for 10 mins in a Cytospin 3 centrifuge (Shandon). Cytospin preparations were stained with 4',6-diamido-2-phenylindole (DAPI) solution [10 μg/ml DAPI in 10% dimethyl sulfoxide (DMSO), 0.85% NaCl, 10 mM Tris (pH 7.4), 5 mM CaCl₂, 20 mM MgCl₂, and 0.5% bovine serum albumin] for 10 minutes and then washed three times with PBS. Fluorescence was analyzed utilizing a Axiophot microscope (Zeiss).

ELECTRON MICROSCOPY

 1×10^4 cells were plated per well in 6 well plates (Corning) and allowed to attach in standard medium. Retinoic acid stock was added after 24 hours for a final concentration of $1 \mu M$. After 5 days exposure to RA, cells were then fixed in half-strength Karnovsky's fixative (67) for 6 hours, rinsed in 0.1 M sodium cacodylate buffer and post-fixed in 1% collidine-buffered osmium tetroxide. Dehydration in graded ethanol and propylene oxide was followed by infiltration and embedding in Epon 812. Approximately 70-90 nm sections were stained using saturated aqueous uranyl acetate and lead tartrate. Photographs were taken using a JEOL 100 SX transmission electron microscope operating at 80 kV.

DIPHENYLAMINE ASSAY FOR DETERMINATION OF CYTOPLASMIC DNA FRACTION 2.5 to 5.0 x 10⁵ cells were plated per T25 flask (Corning) and grown in standard media. Retinoic acid stock was added on days 0, 2, and 4, directly to the media to bring the final concentration to 1 μM. Confluency did not exceed ~70%. On day 6 cells were trypsinized

and washed in cold PBS. The diphenylamine assay was performed as previously described (24). Tubes containing nuclear and cytoplasmic fractions were incubated 16 to 20 hours at 30°C. Optical density was read at 600 nm.

TERMINAL DEOXYNUCLEOTIDYL TRANSFERASE ASSAY

Cytospin preparations were made in the same manner as described above for DAPI staining. Each slide preparation received 50 μL of TdT buffer (0.1 M sodium cacodylate (pH 7.0) (Sigma), 1 mM calcium chloride (Sigma), 0.1 mM dithiothreitol (Sigma), 0.05 mg/mL bovine serum albumin, 10 units terminal transferase (Boehringer Mannheim) and 0.5 nM fluorescein-16-dUTP (Boehringer Mannheim) (24). Cells were incubated in this solution for 1 hour at 30° C in the dark. Slides were then rinsed three times in PBS. Fluorescence was visualized using a Axiophot microscope (Zeiss).

WESTERN BLOTTING

Preparation of cellular lysates and immunoblotting are as previously described (24). Equal amounts of protein lysates (approximately 100 µg total protein) were loaded on 6%, 10%, or 15% polyacrylamide gels and then electroblotted (Hoeffer) at 80 mA for 45 mins onto Hybond-ECL membrane (Amersham). The membrane was blocked with 20% bovine serum albumin (Sigma) in PBS overnight at RT and then incubated with a 1:100 dilution of either mouse anti-human p53 (Oncogene Science Ab-2), mouse anti-human p21/WAF1 antibody (Oncogene Science Ab-1), or mouse anti-human pRB antibody (PharMingen) for one hour at RT with agitation. The membrane was washed three to five times at RT with 250 mL PBS containing 0.1% TWEEN and then incubated with a horseradish peroxidase-conjugated goat anti-mouse IgG (Jackson ImmunoResearch) at a 1:35,000 dilution for 1 at RT. The blot was washed again and complexes detected by using ECL Western Blotting Detection Reagents (Amersham) as described by the manufacturer. Detection of p27 protein was detected with a polyclonal rabbit antiserum to p27 protein at a 1:1000 dilution

(gift of Jim S. Robert), washed as described above, and detected with horseradish peroxidase-conjugated Protein A (Sigma) at a 1:2000 dilution and detected using ECL Western Blotting Detection system.

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Table 1: Effects of retinoic acid on HMEC cell cycle

Cells/Retinoic Acid	% G ₀ /G ₁	%	% S	%	% G₂/M
AG11132					
No Retinoic Acid	72		21		7
0.1 μM RA	76	+ 6	12	-43	11
1.0 μM RA	84	+17	9	-58	7
AG11134					·
No Retinoic Acid	71		19		11
0.1 μM RA	79	+11	11	-42	11
1.0 μM RA	80	+13	6	-68	13

HMEC strains AG11132 (passage 14) and AG11134 (passage 15) were treated with 1.0 μ M RA for 2 days. The distribution of cells in the various phases of the cell cycle was determined by flow cytometry as described in Materials and Methods. These data are representative of three separate experiments.

Figure 1: Expression of RAR α and RAR β mRNA in RA-treated HMECs.

Northern analysis of HMEC strains AG11132 (passage 12) and AG11134 (passage 10) treated for 48 hours with (+) and without (-) 1.0 μ M RA, demonstrating the expression of the RAR α and RAR β mRNA. 10 μ g of RNA were loaded per lane. Autoradiographic exposure times are 4 and 14 days for RAR α and RAR β , respectively. 36B4 is a 1.5 kb mRNA expressed uniformly in all breast cell strains or lines and, therefore, serves as a loading control (64).

Figure 2: RA-mediated trans-activation of βRARE in HMECs.

CAT reporter assays of RA-treated HMEC strains AG11132 (passage 11 to 14) (A) and AG11134 (passage 9 to 12) (B) transfected with pRRE4-tkCAT plasmid. Cells were treated with 0, 0.1, 1.0, and 10 µM RA for 24 hours. pCMV-GH was used as a transfection control. CAT counts were corrected for growth hormone activity and total protein (see Materials and Methods). Data represent the mean of three independent transfections performed in duplicate.

Figure 3: RA-mediated growth inhibition of HMECs.

Growth curves of HMEC strains AG11132 (passage 12) (A) and AG11134 (passage 10) (B). Cells were plated on Day -1 in standard medium in duplicate at 1×10^4 cells per well. Cells were re-fed on Day 0 with standard medium containing 0, 0.1, or 1.0 μ M RA. Untreated controls received an equivalent volume of ethanol (0.1%). Cells were trypsinized at 12 to 24 hour time intervals and counted in triplicate.

Figure 4: Effect of RA on cell cycle phase distribution in HMECs.

Cell cycle distribution of HMEC strains AG11132 (passage 14) (A) and AG11134 (passage 14) (B) treated with 1 μ M RA. Cells were plated on Day -1 in standard medium, re-fed on day 0, and treated with 1 mM RA on days 0, 1, 2, and 3. Cells were harvested on day 4. (See Materials and Methods.) Data are presented relative to the %S and % G_1 phase of the untreated cells and are representative of 3 separate experiments.

Figure 5: Nuclear staining of RA-treated HMECs.

HMEC strains AG11132 (passage 13) (A,C) and AG11134 (passage 11) (B,D) were incubated with (C,D) and without (A,B) 1.0 μM RA acid for 5 days and then stained with DAPI as described in Materials and Methods. HMECs treated with RA did not exhibit nuclear condensation characteristic of apoptosis.

Figure 6: Cytoplasmic DNA fraction in RA treated HMECs.

The indicated cells were treated for 0, 2, 4, and 6 days with 1.0 μ M RA. Cytoplasmic DNA was determined by the diphenylamine assay as described in Materials and Methods. HMEC strains AG11132 (passage 12) and AG11134 (passage 10) do not demonstrate cytoplasmic fragmented DNA. HL-60 cells exhibit retinoic acid-induced apoptosis (68) and serve as a positive control.

Figure 7: Expression of c-myc and p27 mRNA in RA-treated HMECs.

Northern analysis of HMEC strains AG11132 (passage 12) and AG11134 (passage 10) treated for 48 hours with (+) and without (-) 1.0 μ M RA, demonstrating the expression of the c-myc and p27 mRNA. 10 μ g of RNA were loaded per lane. Exposure time was 3 days and 7 days respectively for c-myc and p27. 36B4 is a 1.5 kb mRNA expressed uniformly in all breast cell lines and therefore serves as a loading control (64).

Figure 8: Expression of pRB, p53, and p21 protein following treatment with all-trans-retinoic acid.

AG11132 (passage 11) and AG11134 (passage 12) treated for 48 hours with (+) and without (-) 1 µM RA and analyzed for pRB, p53, and p21 protein expression. Equal amounts of protein lysate were loaded per lane. Incubation was with a 1:100 dilution of anti-pRB antibody (PharMingen), a 1:100 dilution p53 specific antibody (Oncogene Science), or a 1:100 dilution of p21 specific antibody (Oncogene Science) and detected by chemiluminescence as described in Materials and Methods. The arrow denotes the location of the hyperphosphorylated pRB protein. The protein gel was stained with Coomassie blue and an unidentified 45 kd protein was used as a loading control.

Figure 9: Relative expression of hyperphosphorylated pRB following treatment with all-trans-retinoic acid.

(A) AG11132 (passage 10) and (B) AG11134 (passage 8) were treated for 0, 24, and 48 hours with 1 μM RA and analyzed for relative levels of hyperphosphorylated and phosphorylated pRB protein expression. Equal amounts of protein lysate were loaded per lane. Levels of pRB were detected utilizing a 1:100 dilution of antibody to pRB (PharMingen) and detected with chemiluminescence (Amersham). Densitometer readings were made from resulting autoradiograph. Readings were standardized relative to an unidentified 45 kd protein detected by Coomasie blue staining of the original gel.

Figure 1

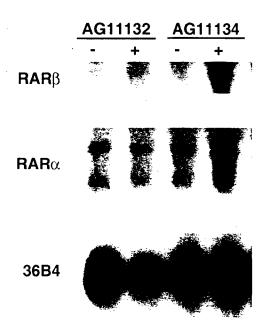


Figure 2

A AG11132

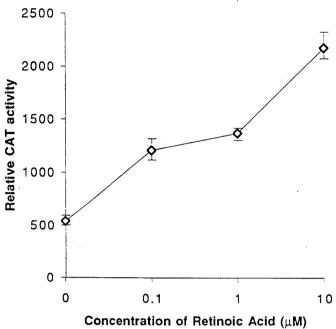


Figure 2

B AG11134

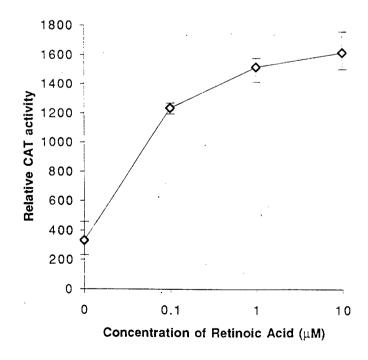


Figure 3

A AG11132

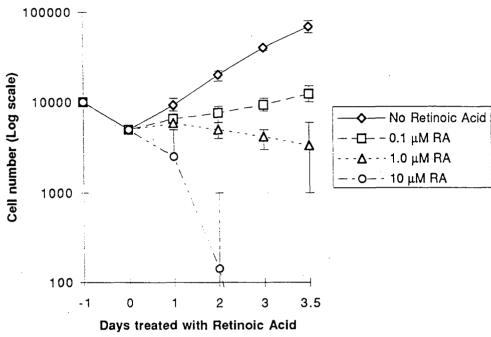


Figure 3

B AG11134

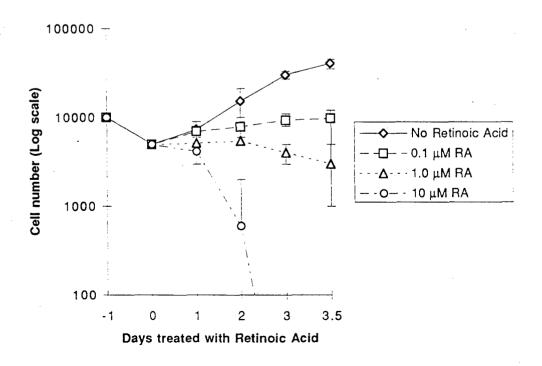


Figure 4

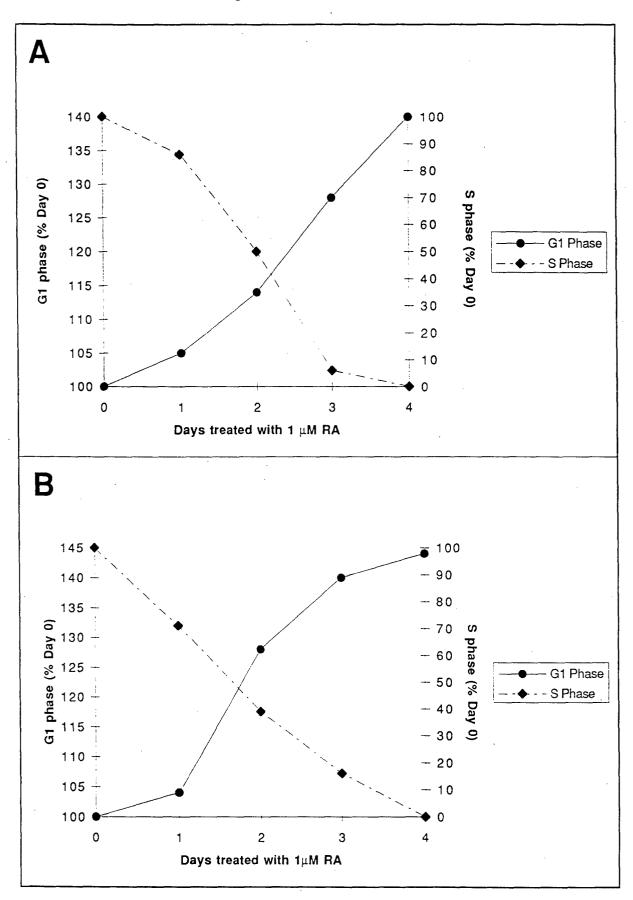


Figure 5

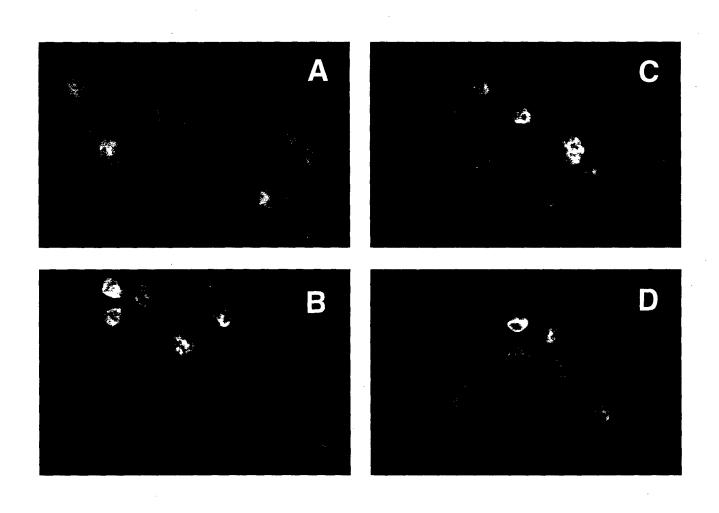


Figure 6

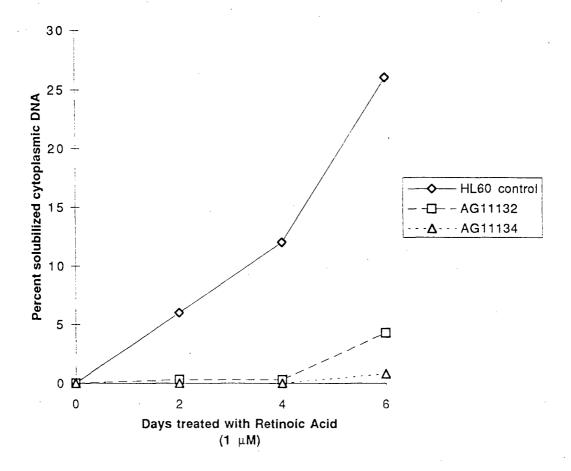


Figure 7

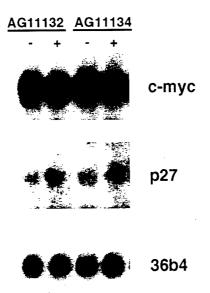


Figure 8

AG11132 AG11134 - + - +	
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er statuer een Mi	p21
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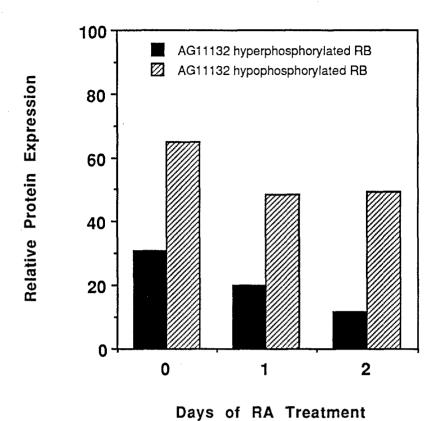
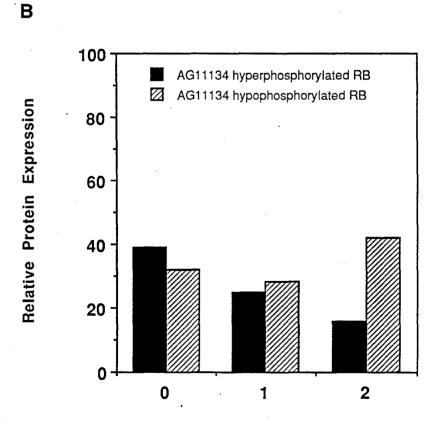


Figure 9





Days of RA Treatment

DEPARTMENT OF THE ARMY



US ARMY MEDICAL RESEARCH AND MATERIEL COMMAND 504 SCOTT STREET FORT DETRICK, MARYLAND 21702-5012

REPLY TO ATTENTION OF:

MCMR-RMI-S (70-1y)

6 May 98

MEMORANDUM FOR Administrator, Defense Technical Information Center, ATTN: DTIC-OCP, Fort Belvoir, VA 22060-6218

SUBJECT: Request Change in Distribution Statement

1. The U.S. Army Medical Research and Materiel Command has reexamined the need for the limitation assigned to technical reports written for the following contracts. Request the limited distribution statement for these contracts be changed to "Approved for public release; distribution unlimited." These reports should be released to the National Technical Information Service.

Contract Number	Accession Document Number
	/
DAMD17-94-J-4030	ADB215484 '
DAMD17-94-J-4138	ADB215863
DAMD17-94-J-4158	ADB215553
DAMD17-94-J-4278	ADB215864 <
DAMD17-94-J-4267	ADB216187 /
DAMD17-94-J-4200	ADB216054
DAMD17-94-J-4185	ADB219284
DAMD17-94-J-4172	ADB224562 🕶
DAMD17-94-J-4156	ADB216186
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DAMD17-94-J-4053	ADB216052
DAMD17-94-J-4028	ADB218953

2. Point of contact for this request is Ms. Betty Nelson at DSN 343-7328 or email: betty nelson@ftdetrck-ccmail.army.mil.

FOR THE COMMANDER:

PHYLIS M. RINEHART

Deputy Chief of Staff for Information Management